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#### 2024 AUC for Amyloid and Tau PET

#### Updated Appropriate Use Criteria for Amyloid and Tau PET in Alzheimer's Disease

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- 43
- 44 Abstract
- 45 INTRODUCTION
- 46 The Alzheimer's Association and the Society of Nuclear Medicine and Molecular Imaging
- 47 convened a multidisciplinary workgroup to update appropriate use criteria (AUC) for amyloid
- 48 positron emission tomography (PET) and to develop AUC for tau PET.
- 49 METHODS

- 50 The workgroup identified key research questions that guided a systematic literature review on
- 51 clinical amyloid/tau PET. Building on this review, the workgroup developed 17 clinical scenarios
- 52 in which amyloid or tau PET may be considered. A modified Delphi approach was used to rate
- 53 each scenario by consensus as "rarely appropriate," "uncertain," or "appropriate." Ratings were
- 54 performed separately for amyloid and tau PET as stand-alone modalities.
- 55 RESULTS
- 56 For amyloid PET, 7 scenarios were rated as appropriate, 2 as uncertain, and 8 as rarely
- 57 appropriate. For tau PET, 5 scenarios were rated as appropriate, 6 as uncertain, and 6 as rarely
- 58 appropriate.
- 59 DISCUSSION
- 60 AUC for amyloid and tau PET provide expert recommendations for clinical use of these
- 61 technologies in the evolving landscape of diagnostics and therapeutics for Alzheimer's disease.

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### 97 1. Introduction and Scope98

99 Alzheimer's disease (AD) is defined neuropathologically by the deposition of extracellular plaques composed of aggregated forms of the amyloid-beta (A $\beta$ ) polypeptide and intraneuronal 100 neurofibrillary tangles (NFTs) composed of aggregated hyperphosphorylated tau protein(1). In 101 the past 20 years, positron emission tomography (PET) radiotracers have been developed to 102 103 image amyloid plaques and tau tangles in vivo(2-7). Currently, 3 fluorine-18-labeled amyloid radiotracers (<sup>18</sup>F-florbetapir, <sup>18</sup>F-flutemetamol, <sup>18</sup>F-florbetaben) are approved for clinical use by 104 105 regulatory agencies in the US, the European Union, and other countries to estimate amyloid 106 plague density in adult patients with cognitive impairment who are being evaluated for AD and 107 other causes of cognitive decline. In 2020, the US Food and Drug Administration (FDA) 108 approved the tau radiotracer <sup>18</sup>F-flortaucipir (FTP) to estimate the density and distribution of 109 NFTs in adult patients with cognitive impairment who are being evaluated for AD.

110

111 In 2013, a task force convened by the Alzheimer's Association (AA) and the Society of Nuclear Medicine and Molecular Imaging (SNMMI) developed appropriate use criteria (AUC) to define 112 113 the types of patients and clinical circumstances in which amyloid PET could be used and, 114 equally important, the clinical scenarios in which amyloid PET was felt to be inappropriate(8). The goal of this article is to update the AUC for amyloid PET from the additional data that have 115 emerged in the decade since the original AUC were published, which include advances in 116 therapeutics designed to lower the cerebral amyloid burden. Recognizing these important 117 118 advances, in October 2023, the US Centers for Medicare and Medicaid Services (CMS) retired 119 its 2013 National Coverage Decision, which restricted coverage of amyloid PET to a single scan 120 per patient under approved research studies, thus promoting greater patient access to this 121 important clinical tool. CMS did not issue a noncoverage policy for tau PET; thus, it is covered by CMS under the discretion of the local Medicare Administrative Contractors. In addition, we 122 propose for the first time AUC for tau PET, recognizing that this is a relatively novel technology 123 124 and that data on its clinical utility are currently limited. The revised AUC were developed by a

multidisciplinary workgroup of experts convened by AA-SNMMI (see Section 7: Methods).

126

127 The primary goal of these updated AUC is to assist clinicians in identifying clinical scenarios in 128 which amyloid or tau PET may be useful for guiding the diagnosis and management of patients 129 who have, or are at risk for, cognitive decline, while also highlighting scenarios in which PET 130 scans are unlikely to provide clinically useful information. The primary intended audience is 131 dementia specialists who spend a significant proportion of their clinical effort caring for patients 132 with cognitive complaints. The article is also meant to serve as a general reference for a 133 broader audience interested in implementation of amyloid and tau PET in clinical practice. In 134 addition, the AUC are intended to support policy makers and payers in promoting cost-effective 135 access to this important diagnostic tool to patients who are most likely to benefit in the setting of 136 limited healthcare resources. Finally, the workgroup members recognize that amyloid and tau 137 PET are part of a growing landscape of molecular biomarkers of AD pathophysiology, which include cerebrospinal fluid (CSF) and blood-based biomarkers of amyloid, tau, and 138 139 neurodegeneration. The reader is referred to published AUC for CSF biomarkers(9) and 140 appropriate use recommendations (AURs) for blood-based AD biomarkers(10). The optimal 141 integration of the entire armamentarium of AD biomarkers into future diagnostic and care 142 algorithms is beyond the scope of this article, but represents an important area for future 143 research.

144

#### 2. Background 146

147

148 The current document is an update of the previously published AUC for amyloid  $PET(\underline{8})$ . The 149 update integrates extensive literature published over the past decade that examined the 150 diagnostic and prognostic value of amyloid PET in longitudinal clinical cohorts and observational 151 studies; evaluated the clinical utility of amyloid PET for patient diagnosis, management, and 152 health outcomes; further validated the diagnostic validity of amyloid PET in prospective PET-to-153 autopsy studies; and used amyloid PET in AD clinical trials, including for the development of 154 amyloid-targeting antibodies that recently received approval from the US FDA for the treatment 155 of early clinical stages of AD(11-13). The updated AUC reflect an increasing awareness that 156 amyloid deposition begins 2 decades or more before the onset of cognitive impairment, defining 157 a prolonged preclinical phase of AD, with potential increased demand for testing among 158 cognitively unimpaired (CU) individuals or individuals experiencing subjective cognitive decline 159 (SCD; see Section 3: Key Definitions). The updated AUC also examine for the first time the 160 potential role of tau PET in common clinical scenarios, given recent FDA approval of <sup>18</sup>F-FTP for 161 clinical use. An important observation is that the neocortical tau PET signal appears more proximally to clinical symptoms than does the neocortical amyloid PET signal. In contrast to the 162 much more extensive literature on amyloid PET, <sup>18</sup>F-FTP is a relatively new 163 164 radiopharmaceutical with limited data, in particular as it pertains to longitudinal follow-up and 165 clinical utility. As with amyloid imaging, recommendations represent expert opinion based on 166 currently available information. 167

168 Amyloid and tau PET detects amyloid plagues and NFTs, the core elements that collectively 169 define AD neuropathology. In the clinical setting, the primary role of these scans is to provide 170 evidence for or against the presence of these disease-defining lesions in patients who are 171 seeking assessment for cognitive symptoms. The PET scans should be performed when there 172 is significant uncertainty regarding the etiology of cognitive impairment after a comprehensive 173 assessment by a dementia specialist (see Section 3: Key Definitions), when AD is a diagnostic 174 consideration, and when knowledge of amyloid or tau status is expected to help establish an 175 etiological diagnosis and guide patient management (e.g., to confirm the presence of amyloid plaques in a patient who is a candidate for amyloid-lowering therapy). Amyloid or tau PET 176 177 should not be used as a substitute for a comprehensive clinical examination, which should 178 include a detailed medical and neurobehavioral history, physical examination, mental status 179 testing, blood tests to rule out potentially reversible causes of cognitive impairment, and 180 structural brain imaging. The entirety of these clinical data is required to optimally integrate 181 amyloid/tau PET results into clinical decision making regarding diagnosis and patient 182 management. 183

184 The guidelines presented here highlight general principles for integrating amyloid and tau PET 185 into clinical care, including the potential appropriateness of testing in specific clinical scenarios. 186 These guidelines represent general recommendations and should not be considered a 187 substitute for clinical judgment exercised by the healthcare provider caring for an individual

188 patient.

189 190 As recommended in the previous AUC, the following sequence of events would generally be

191 appropriate for the integration of amyloid or tau PET into clinical practice: (1) evaluation by a

192 dementia expert to assess the need for diagnostic testing, possibly to include amyloid or tau

- 193 PET, if the AUC are met; (2) referral to a qualified provider of PET services; (3) performance,
- 194 interpretation, and reporting of the PET result according to established standards; (4)

- incorporation of the PET result into the clinical assessment process by the dementia expert; and
   (5) disclosure of the PET result by the dementia expert to the patient, family, and care partners,
- along with discussion of the result and its management consequences.
- 198

### 199 **3. Key Definitions**

The following definitions provide clarification of key terms used in this document and the clinical scenarios for appropriate use presented by this workgroup.

- 202
- 3.1. The Continuum of Cognitively Unimpaired, Subjective Cognitive Decline, Mild Cognitive
   Impairment, and Dementia
- 205 Cognitive impairment acquired in adulthood is diagnosed by a history from the patient and a 206 knowledgeable proxy for the patient and by examination of objective cognitive performance 207 under direct observation by a skilled clinician. Cognitive functioning exists on a continuum 208 anchored at one end by the state of being cognitively unimpaired and, on the other end, by the 209 state of severe dementia, with intermediate states in between. The definitions of cognitive 210 impairment to be used in the current document are grounded in the clinical judgment that they 211 represent a decline from a prior higher level of functioning. More detailed definitions are found in 212 the National Institute on Aging and Alzheimer's Association (NIA-AA) Research Framework 213 consensus definitions (Table 5 in(14)), but the following definitions are used by this workgroup 214 to establish AUC for amyloid and tau PET.
- 215
- Cognitively unimpaired (CU): Cognitive performance is within the expected range for that
   individual based on clinical judgment or cognitive test performance, and the patient does not
   endorse significant cognitive complaints(<u>14</u>).
- Subjective cognitive decline (SCD): Cognitive complaints in the absence of objective evidence of decline below expected normative levels(<u>15</u>).
- Mild cognitive impairment (MCI): Cognitive performance in at least 1 domain that is below the expected range for that individual based on all available information, but daily activities are performed in a largely independent manner. The definition of MCI allows for mild functional impact on the more complex activities of daily life(<u>14</u>, <u>16</u>).
- Dementia: Substantial cognitive impairment that affects multiple cognitive domains,
   interferes with daily functioning, and results in loss of independence. Dementia can be
   further subdivided into mild, moderate, and severe stages, reflecting incrementally worse
   functioning first in instrumental (i.e., complex) and then in basic activities of daily living(<u>14</u>,
   <u>17</u>).
- Clinical diagnosis requires the use of categorical syndromic diagnostic labels such as SCD,
  MCI, or dementia, but there are many patients whose clinical presentation falls in between 2 of
  these labels. Thus, although this document makes recommendations that are syndrome
  specific, clinical judgment requires that each patient be understood as unique and not as a
  generic exemplar of a categorical diagnosis.
- 235
- A complete list of abbreviations used in this document and their definitions can be found in Appendix A.
- 238

#### 239 3.2. AD and the Etiology of Cognitive Disorders

In the context of the current document, in which amyloid and tau biomarkers are being applied 240 241 to patients with cognitive impairment, we maintain a conceptual separation between cognitive 242 disorders and underlying etiology. The most common symptomatic presentation of AD pathology is a disorder that begins with amnestic complaints that may not substantially interfere 243 244 with daily activities, and then progresses to a multidomain cognitive disorder (i.e., variably 245 involving language, visuospatial and executive deficits, as well as behavioral abnormalities)(16. 246 17). The clinical syndrome of amnestic dementia, originally referred to as probable AD in the 247 1984 National Institute of Neurological and Communicative Disorders and Stroke and the 248 Alzheimer's Disease and Related Disorders Association (NINCDS-ADRDA) criteria(18), is often, 249 but not always, due to AD pathology. Neuropathological investigations(19) have shown that 250 clinical diagnostic criteria alone have suboptimal accuracy for AD as defined pathologically. 251 Moreover, several non-amnestic cognitive presentations that are more common in younger 252 patients, such as visual, language, or behavioral/dysexecutive variants, were shown to be due 253 to AD neuropathology(20). The lack of a close clinical-pathological relationship between clinical 254 presentation and neuropathological (or biomarker) evidence for AD requires us to recognize the pleomorphic clinical presentations of AD pathology, and that in the setting of historically typical 255 256 amnestic cognitive disorders, alternative brain pathologies could be relevant.

257

#### 258 3.3. Cognitive Disorder of Uncertain Etiology

259 We define "cognitive disorder of uncertain etiology" in this document (which is explicitly AD 260 centric) as being present when there are simultaneously features that are typical for AD 261 pathology and features that are typical for non-AD pathology. In the 1984 NINCDS-ADRDA 262 criteria(18), this pattern of features that did not exclude AD but were not specific for AD was 263 assigned a diagnosis of "possible AD." Prior to amyloid PET(8), such symptom complexes were 264 labeled as "unexplained." Advances in neuropathology and antemortem biomarker 265 investigations have shed new light on this common situation. First, many clinical features -266 cognitive symptoms, noncognitive symptoms, temporal profile, associated medical diagnoses, structural imaging features - are not as specific for one diagnosis as previously believed. 267 268 Further, multi-etiological cognitive disorders are more common than single etiological 269 disorders(21), so that striving to apply one and only one etiological diagnosis is conceptually 270 naïve. Although such a group of possible AD and unexplained MCI or dementia represents a 271 heterogeneous group, it is an important group for the current discussion of AUC for amyloid and 272 tau PET.

273

#### 274 3.4. Dementia Expert

275 The appropriate integration of amyloid and tau PET into the assessment of cognitive decline 276 requires clinical expertise and experience in the evaluation of dementia. Consistent with 277 previous AUC(8, 22), we define a "dementia expert" as a physician typically trained and board-278 certified in neurology, psychiatry, or geriatric medicine who devotes a substantial proportion (at 279 least 25%) of patient contact time to the evaluation and care of adults with acquired cognitive 280 impairment or dementia. Physicians can self-identify as a dementia expert based on their training, knowledge base, and clinical experience. Not all neurologists, psychiatrists, or 281 282 geriatricians are dementia experts; conversely, clinicians trained in other disciplines may 283 possess the requisite expertise in dementia care. The guiding principles are that dementia experts should be (1) skilled at evaluating, diagnosing, and staging a broad spectrum of 284 285 cognitive disorders; (2) familiar with the techniques of amyloid and tau PET (including their 286 strengths and limitations); (3) able to interpret the meaning of amyloid and tau PET results in the broader clinical context of individual patients; and (4) able to communicate PET results and their
implications for diagnosis and care to patients and families in a safe and effective manner, using
best practices for disclosure. As clinical applications of amyloid and tau PET become more
pervasive, it is likely that a broader cohort of clinicians will develop the expertise necessary to
incorporate these tools into their diagnostic workup.

292 293

# 4. Amyloid PET and Tau PET Technology, Radiotracers, and Interpretation

296 297 This section complements and updates information provided in the 2013 publication on the AUC 298 for amyloid PET(8, 22). PET is an established molecular imaging technique that is used to 299 detect, measure, and map molecular targets in the living human, which includes being used for 300 the in vivo localization of aggregated proteins, such as amyloid plaques and tau NFTs. 301 Localization is possible because PET can measure the in vivo distribution of radioactive 302 positron-emitting imaging agents, or radiopharmaceuticals, that bind selectively and specifically 303 to the protein target. The high sensitivity of PET enables measurement of picomolar in vivo 304 concentrations after intravenous administration of trace amounts of the radiopharmaceutical (or 305 radioligand). In studies of neurodegeneration, carbon-11 and fluorine-18 are the positron-306 emitting radionuclides that are most often incorporated into pharmaceuticals, yielding 307 radiopharmaceuticals with radioactive half-lives of about 20 minutes and 110 minutes, 308 respectively. The longer half-life of fluorine-18 enables widespread distribution and use of these 309 radiopharmaceuticals beyond the manufacturing site.

310

311 Carbon-11 Pittsburgh compound-B (PiB) is a well-established radiopharmaceutical(23) that is 312 widely used by research groups that can produce it on-site. PiB often serves as a reference 313 standard to which other amyloid PET agents are compared. Three fluorine-18 Aß agents are 314 approved by the US FDA, European Medicines Agency, and other global regulatory agencies 315 for clinical use "to estimate  $\beta$ -amyloid neuritic plaque density in adult patients with cognitive impairment who are being evaluated for Alzheimer's Disease (AD) and other causes of cognitive 316 317 decline"(24): <sup>18</sup>F-florbetapir (commercial name Amyvid), <sup>18</sup>F-florbetaben (Neuraceq), and <sup>18</sup>F-318 flutemetamol (Vizamyl). A fourth fluorine-18-labeled agent, <sup>18</sup>F-flutafuranol (formerly NAV4694), 319 is currently under clinical development, although it is not currently approved for use in the US or 320 Europe. Figure 1 illustrates the chemical structures of the FDA-approved amyloid tracers and tau tracer (Tauvid)(7, 25-28) and Table 1 describes their use in more detail. The reader is 321 322 referred to the SNMMI Procedure Standard/European Association of Nuclear Medicine (EANM) Practice Guideline for Amyloid PET Imaging of the Brain(29) for more information on how to 323 324 perform an amyloid PET scan.

325



### Figure 1. Chemical structures of amyloid and tau radiotracers

328

329

330 The clinical interpretation of amyloid PET scans is based primarily on visual interpretation 331 methods approved by regulatory agencies following validation in PET-to-autopsy studies performed in end-of-life populations. In patients with absent-to-low density of amyloid plaque 332 deposition, PET scans show only nonspecific tracer retention in white matter. In patients with 333 moderate-to-high density of amyloid plagues, tracer retention extends into the neocortex (Figure 334 2). The earliest amyloid PET signal is often seen in the posterior cingulate cortex, precuneus, 335 336 and frontal regions(<u>30</u>), and widespread neocortical uptake is common by the time patients develop cognitive impairment. Each of the 3 FDA-approved amyloid radiotracers is visualized in 337 different gray/white or color scales (Figure 2), and the specific criteria for scan positivity 338 (including the specific regions investigated) differ slightly across the 3 agents. 339 340

#### 341

342

#### Table 1: FDA-Approved Diagnostic Agents

Amyloid Agent	Image Display	Number of Regions for a Positive Scan
Florbetapir F-18	Color Scale: Gray scale or inverse gray	2, or only 1 if gray matter
370 MBq	scale	uptake exceeds white matter
(10 mCi)	Regions: Temporal, parietal (including	uptake
	precuneus), frontal, and occipital	
Flutemetamol F-18	Color scale: Rainbow or Sokoloff. The	1
	color scale is adjusted to set the pons to	
185 MBq (5 mCi)	approximately 90% maximum intensity.	
	Regions: Temporal, parietal, posterior	
	cingulate/precuneus, frontal, striatum	
Florbetaben F-18	Color scale: Gray scale or inverse gray	1
300 MBq (8.1 mCi)	scale	

	<b>Regions</b> : Temporal, parietal, posterior cingulate/precuneus, and frontal	
Tau Agent		
Flortaucipir F-18 370 MBq (10 mCi)	<b>Color Scale:</b> Color scale with a rapid transition between 2 distinct colors, the scale being adjusted so that the transition occurs at the 1.65-fold threshold. Neocortical activity in either hemisphere contributes to image interpretation.	A positive scan shows increased neocortical activity in posterolateral temporal, occipital, or parietal/precuneus region(s), with or without frontal activity. Neocortical activity in either hemisphere can contribute to identification of the positive pattern( <u>31</u> , <u>32</u> ).



Figure 2. Examples of positive and negative Aβ and tau PET scans with FDA-approved
 radiotracers. Standardized uptake value ratio (SUVR) images were created by using the pons
 (<sup>18</sup>F-flutemetamol), whole cerebellum (<sup>18</sup>F-florbetaben, <sup>18</sup>F-florbetapir), and inferior cerebellar
 gray matter (<sup>18</sup>F-flortaucipir) as reference regions. Each image is displayed in the approved
 gray/white or color scale for clinical interpretation.

Quantification of amyloid PET is often performed in research studies and clinical trials. The most
 common quantitative measure is the standardized uptake value ratio (SUVR), which is the ratio

356 of radiopharmaceutical uptake in a target region (e.g., neocortical regions that are known to 357 accumulate amyloid plagues) divided by uptake in a nonspecific reference region that is relatively spared of pathology (e.g., cerebellum), measured at a time after injection when these 358 359 ratios were shown to be stable (varies by radiotracer). The "Centiloid" scale can be used to standardize and compare amyloid PET quantification across radiotracers and image processing 360 methods. In this scale, 0 Centiloids (CL) represents the average neocortical uptake in young CU 361 362 individuals who are unlikely to have amyloid deposition, whereas 100 CL represents the mean 363 uptake in patients with mild-moderate dementia due to AD. Thresholds for scan positivity 364 typically vary between 10 and 40 CL units, with lower thresholds increasing the sensitivity to 365 detect early pathology(33-35). Standardized imaging acquisition and processing is established for amyloid PET, and several commercial software packages that can be used to derive SUVR 366 367 and CL outcomes have been developed to assist with scan interpretation in clinical practice. 368 Quantification is not currently included in the FDA labels (36), although it has been added as an 369 adjunct to visual inspection for all 3 amyloid radiotracers in Europe. Future clinical use of 370 amyloid PET quantification may be particularly important for objectively gauging longitudinal changes in amyloid burden in individual patients, for example, to measure clinical response to 371 372 an amyloid-lowering therapy (see Section 8.3: Rationale for Clinical Scenario Appropriateness Ratings, Clinical Scenario 15)(37). 373

374

375 Tau PET is currently performed by using F-18 radiopharmaceuticals. <sup>18</sup>F-FTP (commercial

name: Tauvid) was the first widely used tau agent, and in 2020 was granted FDA approval "to

estimate the density and distribution of aggregated tau NFTs for adult patients with cognitiveimpairment who are being evaluated for Alzheimer's disease"(*38*).

379

380 Several additional tau-selective radiotracers were subsequently developed, including <sup>18</sup>F-MK-6240, <sup>18</sup>F-RO948, <sup>18</sup>F-GTP-1, <sup>18</sup>F-PI-2620, and <sup>18</sup>F-PM-PBB3 (also known as <sup>18</sup>F-APN-1607), 381 382 although none have yet received FDA approval. All tau tracers were developed based on their 383 ability to bind to AD-related NFTs. Most show absent-to-weak binding to non-AD tauopathies 384 (e.g., progressive supranuclear palsy [PSP], corticobasal degeneration [CBD], chronic traumatic encephalopathy, molecular subtypes of frontotemporal dementia [FTD]), although <sup>18</sup>F-PI-2620 385 386 and <sup>18</sup>F-PM-PBB3 are currently being evaluated as broader spectrum tau imaging agents. 387 Notably, <sup>18</sup>F-PI2620 received orphan drug indication as a biomarker for tau deposition in 4-388 repeat tauopathies (i.e., PSP and CBD). All tau tracers exhibit varying degrees and patterns of "off-target" binding (i.e., binding to non-tau targets), typically in the basal ganglia, meninges, 389 390 choroid plexus, and midbrain nuclei (substantia nigra and red nucleus). 391

392 As with amyloid tracers, clinical interpretation of FTP tau PET scans is based on visual 393 interpretation (Figure 2). A scan is interpreted as showing a "negative AD tau pattern" if there is 394 no neocortical tracer uptake, or if uptake is limited to the medial temporal, anterolateral 395 temporal, or frontal cortex. A "positive AD pattern" is defined as showing the extension of tracer 396 retention into the posterolateral temporal or occipital cortex, with further extension into the 397 parietal cortex, posterior cingulate/precuneus cortex, and frontal cortex seen in more advanced 398 disease (Figure 2)(38). In research studies, SUVR values are calculated to quantify tau PET uptake across radiotracers in various target regions of interest, with the earliest signal typically 399 400 detectable in the entorhinal cortex and other medial temporal structures, followed by spread into 401 the inferior temporal gyrus (the latter usually occurring in the setting of a positive amyloid PET 402 scan). Efforts are underway to develop standardized quantitative tau PET scales across 403 radiotracers and analytic approaches, analogous to the CL scale used for amyloid PET

404 standardization(<u>39</u>). Tau PET quantification may enhance sensitivity for early-stage disease

405 (e.g., Braak stages III/IV)(<u>40</u>), assist with disease staging(<u>41</u>), and gauge longitudinal change in
 406 tau burden as a result of disease progression or in response to therapeutic interventions(<u>42</u>).
 407

- 408 Standardized acquisition of the PET scans, following FDA labels, is necessary for reproducible 409 results. All nuclear medicine examinations should be performed under the supervision of and 410 interpreted by a physician certified in nuclear medicine or nuclear radiology by the American 411 Board of Nuclear Medicine or the American Board of Radiology in the US or equivalent 412 organizations outside the US. The clinical value of amyloid/tau PET imaging is entirely 413 dependent on the quality of the images and the accuracy of interpretation. Amyloid and tau PET 414 imaging are technically challenging and should be performed only when there is strict attention 415 to quality control. Clinical PET scanning is widely available, but the experience of PET facilities 416 with brain imaging is variable. Amyloid and tau imaging are evolving modalities; therefore, 417 image interpretation criteria, the clinical significance of positive and negative scan results, and 418 technical imaging considerations are evolving. The following recommendations are based on 419 current knowledge and may require modification in the future. The individual performing the 420 scan must be familiar with brain anatomy and have adequate specific training in amyloid PET 421 interpretation. Training specific to the interpretation of amyloid imaging such as provided by the manufacture of the radiopharmaceutical (if available) should be completed and preferably 422 423 augmented by training programs offered by professional societies such as the SNMMI and the EANM. High-quality training of readers is essential to ensure consistently accurate interpretation 424 425 of amyloid and tau PET results. As with all nuclear medicine imaging, readers also need to learn 426 to recognize important technical or patient-related artifacts(36). 427
- 428 Imaging procedures should be performed by a gualified nuclear medicine technologist with 429 appropriate training and certification. All nuclear medicine examinations should be performed by 430 a qualified nuclear medicine technologist who is registered/certified in nuclear medicine by the 431 Nuclear Medicine Technology Certification Board, the American Registry of Radiologic 432 Technologists, or equivalent organizations outside the US. The nuclear medicine technologist 433 works under the supervision of a physician with qualifications outlined earlier. Imaging should be 434 performed in an imaging facility certified by the Intersocietal Commission for the Accreditation of 435 Nuclear Laboratories, the American College of Radiology, or other equivalent accrediting 436 agency. 437
- 438 Results of amyloid PET imaging should be communicated to the referring physician by the 439 imaging physician by way of a written report according to a standard diagnostic imaging practice 440 as outlined in the SNMMI General Imaging Guideline. The final reading should conform to 441 radiotracer-specific criteria for elevated amyloid or tau levels. Indeterminate results may arise 442 due to technical or physiological factors and should be reported as such. The report should not 443 confound amyloid/tau positivity with cognitive impairment due to AD. The dementia specialist 444 should then communicate with patients and family members after a comprehensive review of 445 the clinical assessment and test results.
- 446 447

### **5. Neuropathological Target of Amyloid and Tau PET Ligands**

449

450 At autopsy, amyloid plaques are visualized by using thioflavin fluorescent dyes, silver

451 impregnation techniques, or antibody-based immunohistochemistry. Neuritic plaques are the

452 pathognomonic plaque type in AD that are morphologically defined by the incorporation of

453 dystrophic tau-positive neurites into the amyloid deposit(<u>43</u>, <u>44</u>). The topographic distributions of

454 amyloid plaque deposition and NFT accumulation are used to assess the level of AD

- 455 neuropathological change (ADNC), as reflected by the "ABC" score in the NIA-AA
- 456 neuropathological guidelines(<u>43</u>, <u>44</u>): The <u>A</u>myloid component is derived from the topographic
- distribution of any plaque type by using the Thal amyloid phase (45)); the tau component relies
- on the <u>B</u>raak tangle stage (<u>46</u>, <u>47</u>); and, given the significance of neuritic plaques, an additional
- amyloid component is accounted for by the <u>Consortium to Establish a Registry for Alzheimer's</u>
- 460 <u>Disease (CERAD)</u> score(<u>48</u>). The ABC score integrates all 3 components in order to classify an
- individual as having "no," "low," "intermediate," or "high" ADNC, with "intermediate-high"
   changes considered clinically relevant.
- 463

464 Neuroimaging and neuropathology studies demonstrate common spatial patterns of amyloid 465 deposition that begin in the neocortex, next involve limbic structures and the diencephalon, and 466 lastly occur in the cerebellum(30, 45, 49-51). The topographic distribution of amyloid plaques is 467 similar across different clinical presentations of AD (i.e., memory-, dysexecutive-, language-, 468 and visuospatial-predominant presentations)(52-54).

469

470 In typical AD, tau accumulation is first observed in the entorhinal cortex (Braak stages I-II),

- followed sequentially by involvement of limbic and paralimbic structures (Braak stages III-IV)
- and association cortices (Braak stage V), and lastly primary cortices (i.e., primary sensorimotor,
  visual, or auditory cortices, Braak stage VI)(<u>46</u>, <u>47</u>). Less commonly, the distribution of tangles
  presents instead with "hippocampal-sparing" or "limbic-predominant" patterns. Hippocampalsparing AD is defined by greater cortical involvement relative to limbic structures and is more
  commonly observed in patients presenting with an atypical, non-amnestic phenotype(<u>55</u>, <u>56</u>). In
  direct contrast, limbic structures are greatly affected relative to the cortex in limbic-predominant
  AD, with the overwhelming majority of patients presenting with an amnestic phenotype. Different
- 479 clinical variants of AD show distinct topographic densities of NFTs, with the highest tangle
- densities found in the regions that are most clinically affected(<u>57</u>). Studies with tau PET have
- 481 replicated these 3 patterns of tau distribution in vivo(<u>58</u>).
- 482

483 FDA approvals of amyloid and tau PET radiotracers (and European Medicines Agency approval of amyloid PET radiotracers) were based on studies that compared visual interpretation of 484 485 antemortem PET to the distribution of amyloid and tau aggregates at autopsy. The pivotal studies leading to regulatory approval were conducted in participants near the end of life, 486 487 resulting in short (several months) intervals between PET and autopsy(59-61). For amyloid 488 tracers, the majority of visual reads of amyloid PET scans conducted with FDA-approved 489 radiotracers were found to have 88%-98% sensitivity and 80%-95% specificity when compared 490 with CERAD moderate-frequent neuritic plaques at autopsy. Studies that compared antemortem 491 PET to Thal phase found that scan positivity typically corresponded to Thal phase 2-3(62). 492 Thus, it is important to note that a negative scan does not equate to "no" amyloid deposition, 493 although low levels of amyloid that are below the threshold of detection are much less likely to 494 contribute to cognitive impairment (63). Conversely, positive scan results can be seen in patients 495 who have diffuse amyloid plaque deposition (often seen in diffuse Lewy body disease) or 496 cerebrovascular amyloid deposits (in cerebral amyloid angiopathy), but who do not meet the 497 neuropathological criteria for intermediate-high ADNC(64, 65). 498 499 In the autopsy validation study of <sup>18</sup>F-FTP(38), the majority of visual reads of antemortem PET

- 500 scans showed 92% sensitivity and 80% specificity when compared with Braak stage  $\geq$  V
  - 501 neurofibrillary pathology. This degree of tau neuropathology is nearly always associated with

- 502 cognitive impairment and amyloid PET positivity. Therefore, a positive visual read of <sup>18</sup>F-FTP 503 PET in isolation may be sufficient to rule in a significant contribution of AD to cognitive
- 504 impairment. However, when the visual read method described earlier was applied, scans were
- 505 visually read as consistent with AD in only ~20% of patients who died with Braak stage III-IV tau
- 506 pathology, although this level represents the median Braak stage observed in patients who died
- 507 at the MCI stage of impairment. Quantification of tau PET, in particular in the medial temporal
- 508 lobe, may enhance the sensitivity of the scan to earlier Braak stages(<u>40</u>), but this is not
- 509 performed routinely in clinical practice. The limited sensitivity of <sup>18</sup>F-FTP PET to early-stage
- 510 disease due to the visual read method used in the autopsy validation study may limit the clinical
- 511 utility of the scan in patients with MCI or earlier clinical stages that are typically associated with 512 less advanced tau pathology.
- 512 513

### **6. Relation of Amyloid and Tau PET to Other Diagnostics**

515

#### 516 6.1. Other Nuclear Medicine Procedures

517 Positron emission tomography with the radiolabeled glucose analog <sup>18</sup>F-fluorodeoxyglucose 518 (FDG) has been used to image regional cerebral glucose metabolism in a wide variety of 519 neuropsychiatric diseases for over 4 decades. <sup>18</sup>F-FDG-PET can be helpful in the differential 520 diagnosis of cognitive disorders by demonstrating characteristic patterns of glucose 521 hypometabolism that are uniquely associated with characteristic underlying neuropathologies. 522 The most common <sup>18</sup>F-FDG pattern in AD reveals hypometabolism in the temporoparietal 523 cortex, with prominent involvement of the posterior cingulate cortex and precuneus. The frontal 524 cortex is typically spared in early clinical stages. The anatomical pattern overlaps to a large 525 extent with cortical atrophy seen on magnetic resonance imaging (MRI), but some studies 526 suggest that <sup>18</sup>F-FDG may be more sensitive than MRI at early disease stages, and patterns 527 may be more apparent on qualitative reads for individual patients(66). <sup>18</sup>F-FDG-PET has an 528 established role in the diagnosis of FTD, demonstrating frontal or anterior temporal-predominant 529 hypometabolism (with sparing of the posterior cortical regions) in behavioral or language 530 variants of FTD(66). In a head-to-head study of amyloid versus <sup>18</sup>F-FDG-PET in over 100 autopsy-confirmed cases (primarily AD and FTD), amyloid PET had higher sensitivity than <sup>18</sup>F-531 532 FDG-PET for the presence of AD neuropathology with similar specificity, although both modalities performed similarly in determining the causative neuropathology(67). <sup>18</sup>F-FDG-PET 533 534 can also be useful in evaluating dementia with Lewy bodies (DLB) with occipital 535 hypometabolism and preserved metabolism in the posterior cingulate ("cingulate island sign"), 536 helping to distinguish the metabolic pattern from that of AD(68-70). Characteristic patterns have 537 also been reported in atypical parkinsonian syndromes, such as CBD, PSP, and multiple system 538 atrophy(71).

539

Presynaptic dopaminergic imaging (e.g., <sup>123</sup>I-DaTscan single photon emission tomography
[SPECT] or <sup>18</sup>F-FDOPA-PET) supports the differential diagnosis between DLB and AD by
demonstrating loss of dopaminergic cells in the nigrostriatal pathway, with decreased
radiotracer uptake in the putamen and caudate. There is ~80% sensitivity and ~92% specificity
for the diagnosis of DLB compared with neuropathological diagnoses obtained at autopsy(<u>66</u>,
<u>72</u>, <u>73</u>). However, presynaptic dopaminergic denervation can be present in neurodegenerative
causes of parkinsonism other than DLB.

- 548 Apart from the most commonly used PET tracers, other PET tracers are being developed with high potential in dementia research. These include markers of neuroinflammation(74, 75) and 549 550 synaptic density (76). PET radiotracers that bind to other protein aggregates associated with 551 neurodegeneration, such as  $\alpha$ -synuclein and TAR DNA-binding protein 43 (TDP-43), are
- 552 currently in early stages of development(77-79).
- 553

#### 554 6.2. Fluid Biomarkers of Amyloid and Tau

555 Different isoforms of amyloid can be reliably measured in CSF, where the levels of AB42 are 556 reduced by 40%-60% in individuals with amyloid plaques compared with the levels in amyloid-557 negative controls, whereas CSF AB40 levels do not discriminate patients with and without plaque deposition. CSF measures of total tau and phosphorylated tau (P-tau; at residues 181 or 217) 558 levels are elevated in patients with AD. Elevated total tau levels are not specific to AD and are 559 560 also seen in other conditions associated with neuronal injury, including stroke, traumatic brain 561 injury, and Creutzfeldt-Jakob disease. Elevated CSF P-tau181 and P-tau217 levels are more 562 specific for AD and may reflect amyloid-mediated changes in tau phosphorylation and 563 secretion(80, 81).

564

565 Numerous studies have shown a high concordance between amyloid PET imaging and CSF Aβ42/Aβ40 and Aβ42/P-tau181 ratios (see e.g., (82, 83)). These CSF ratios perform better than 566 567 concentrations of A $\beta$ 42 or P-tau alone for predicting amyloid PET status(83, 84). Across the AD 568 continuum, CSF P-tau, especially P-tau217, is moderately associated with the load of both 569 amyloid and tau PET(85, 86). Alternative tau assays, such as P-tau205 and (in particular) 570 microtubule-binding region of tau at residue 243 (MTBR-tau243), may track better with NFT 571 deposition and tau PET(87), but are not yet available outside of research studies.

572

When the clinically approved high-precision CSF assays are used, the CSF A<sub>β42</sub>/A<sub>β40</sub> (or 573

574 AB42/p-tau) ratio can predict the visual classification of amyloid PET images with similar

575 accuracy to quantitative assessments (SUVRs) of the same PET images(83). Not surprisingly, 576 amyloid PET and CSF AD ratios detect early AD with similar accuracy, and there is no added

577 value to combining the 2 measures to detect amyloid positivity(88). Fully automated CSF AD

578 biomarker assays have recently been approved by the FDA and other regulatory authorities.

579

580 In recent years, major advances have been made in developing high-precision plasma assays

581 for AD biomarkers(89). Mass spectrometry-based methods for quantification of AB42/AB40 in

582 plasma have shown high correlation with CSF amyloid biomarkers or amyloid PET(90, 91).

583 However, the levels of plasma  $A\beta 42/A\beta 40$  are decreased by only 8%-15% in individuals with 584 cerebral amyloid pathology versus the 40%-60% decreases seen in CSF. Therefore, the

585 robustness of plasma A $\beta$ 42/A $\beta$ 40 at the individual patient level may be suboptimal for clinical

586 use(92, 93). In contrast, plasma P-tau levels (measured by high-sensitivity immunoassays) are

587 increased by 3-7 times in cognitively impaired individuals with AD compared with levels in CU

588 controls(89). Measurement of plasma tau phosphorylated at various epitopes, including P-

589 tau181, P-tau217, and P-tau231, has high accuracy in differentiating cognitive impairment due

590 to AD from cognitive impairment caused by other conditions, with plasma P-tau217 consistently 591 showing the highest diagnostic performance(<u>94-100</u>). Further, plasma P-tau217 can be used to

592 predict future development of AD dementia in nondemented symptomatic(101, 102) and CU

593

individuals(103, 104). Several studies have also shown that plasma P-tau217 levels are highly 594 concordant with amyloid PET positivity in both cognitively impaired (96, 105, 106) and

- 595 cognitively unimpaired individuals (<u>96, 107-109</u>). The use of mass spectrometry to measure the
- 596 P-tau217 to non-P-tau ratio (%P-tau217) can detect both amyloid PET and tau PET positivity 597 with areas under the receiver operating characteristic curve of > 0.95. Further studies are
- 597 with areas under the receiver operating characteristic curve of > 0.95. Further studies are 598 needed to study how common medical comorbidities, such as kidney dysfunction or high body
- 598 mass index, affect plasma AD biomarker levels in different populations(*110*). Current efforts are
- also underway to optimize plasma MTBR-tau243 as a fluid analog of tau PET(111).
- 601

602 Although biofluid and PET measures of amyloid and tau can both be useful for diagnostic 603 purposes, it is important to note that CSF and plasma measurements reflect the concentrations 604 of soluble forms of AB42 and P-tau, whereas PET radiotracers bind to aggregated protein 605 inclusions. Several studies suggest that changes in CSF, plasma amyloid, and P-tau may be 606 detectable earlier than PET changes(<u>112</u>, <u>113</u>). Although blood-based measures of amyloid, tau, and neurodegeneration are promising, they are not yet approved by the FDA for clinical 607 use. For a comprehensive discussion on the current state of amyloid, P-tau, and other blood-608 609 based biomarkers of neurodegeneration (e.g., neurofilament light chain, glial fibrillary acidic 610 protein, and others), see published AURs(10).

- 611
- 612

### 613 **7. Methods**

#### 614 7.1. Composition of Expert Workgroup

In June 2020, the AA and SNMMI convened a workgroup to update the AUC, with Avalere

Health providing technical and editorial assistance. The workgroup participated in

teleconference meetings on a biweekly basis through August 2021. An additional 1-time

618 meeting was convened in August 2023 (see Section 7.5: Revisiting Clinical Scenarios Involving619 AD Therapeutics).

620

621 In alignment with the Institute of Medicine's recommendations on group composition from its report Clinical Practice Guidelines We Can Trust, the AA and SNMMI established this 622 623 multidisciplinary workgroup by including clinicians and other healthcare professionals with 624 relevant expertise (114). The 14 members of the workgroup included 4 neurologists (GDR, DK, OH, SS), 5 radiology/nuclear medicine physicians (JA, TB, KD, PHK, SM), 1 who was board-625 certified in neurology (PH), 1 who was double-boarded in neurology and nuclear medicine (KJ). 626 1 PET imaging methodologist (JCP), 1 neuro-ethicist (JHL), and 1 pathology and laboratory 627 628 medicine biomarker researcher (MEM). Twelve of the members were from the US and 2 were 629 from Europe (Spain and Sweden). Each member has published extensively on topics related to 630 the key considerations around the use of amyloid and tau PET, such as dementia research, 631 clinical practice and ethics, and biomarker test validation and clinical utilization. The complete 632 list of workgroup members and disclosures of conflicts of interest is provided in Appendix B and 633 the list of external reviewers in Appendix C.

634

#### 635 7.2. Defining Scope and Key Research Questions

636

637 The process began with the workgroup defining the scope and parameters of the AUC and 638 developing key research questions to guide a systematic review of available evidence on

638 developing key research questions to guide a systematic review of available evidence on 639 amyloid and tau PET by using the PICOTS approach (population, interventions, comparisons,

- 640 outcomes, timing, and settings framework)(*115*) (Appendix D).
- 641

- The workgroup then developed a list of 17 clinical scenarios that are encountered in clinical
- 643 practice based on key patient groups in whom amyloid and/or tau PET may be considered as
- 644 part of the diagnostic process. The workgroup developed the clinical scenarios (Tables 2 and 3)
- 645 through a confidential and formalized process adapted from the RAND and University of
- 646 California, Los Angeles, approach for AUC development(<u>116</u>). The workgroup began by
- 647 reviewing the clinical scenarios in the 2013 amyloid PET AUC(<u>8</u>), and then refining and updating 648 the previous scenarios and adding several new ones. This resulted in an updated set of
- scenarios applicable for the consideration of amyloid and tau PET presented in this document.
- 650

### 651 7.3. Systematic Evidence Review Approach and Findings652

In a parallel effort, the Pacific Northwest Evidence-based Practice Center at Oregon Health &
Science University (OHSU) conducted a systematic review of the literature. The primary
purpose of the review was to summarize and assess the strength of evidence for the safety,
diagnostic accuracy, and effect on patient outcomes of amyloid and tau PET in cases posed in
the key research questions listed in Appendix D.

658

Searches for the review were conducted by using Ovid MEDLINE without revisions (December
2020) and supplemented with a review of reference lists of relevant articles and systematic
reviews. Database searches resulted in 3,238 potentially relevant articles. After a dual review of
the abstracts and titles, 118 articles were selected for full-text dual review, and 18 studies (in 27
publications) were determined to meet inclusion criteria and were included in this review
(Appendix E).

665

Two OHSU Evidence-based Practice Center staff reviewers independently assessed the quality
of each study for inclusion. The strength of overall evidence was graded as high, moderate, low,
or very low by using the GRADE method (Grading of Recommendations, Assessment,
Development, and Evaluations), based on the quality of evidence, consistency, directness,
precision, and reporting bias. Specifically, we adapted criteria from the US Preventive Services
Task Force for randomized trials and cohort studies and from the Quality Assessment of
Diagnostic Accuracy Studies(*117*) for studies of diagnostic accuracy (Appendix F).

673 Discrepancies were resolved through a consensus process.

- 674
- 675

#### 676 7.4. Rating of Clinical Scenarios

677

678 Using the evidence summary, their clinical experience and expertise, and their knowledge of 679 research outside of the scope of the evidence review, the workgroup used a modified Delphi 680 approach to reach consensus on ratings for each of the clinical scenarios. This approach consisted of an online survey and 2 rounds of virtual scoring. When rating each scenario, 681 682 workgroup members were asked to assess the benefits and risks to patients of using amyloid 683 and tau PET imaging for the diagnosis of AD. In each scoring round, members were asked to 684 assign to each clinical scenario a rating within ranges of appropriate, uncertain, or rarely 685 appropriate for use of amyloid or tau imaging. A rating scale of 1 to 9 was used in each of the 686 scoring rounds. The rating scale was defined as follows:

- 687
- 688 Score of 7 to 9, Appropriate:
- 689 9 High confidence that use of the tracer is appropriate.
- 690 8 Moderately confident that use of the tracer is appropriate.
- 691 7 Only somewhat confident that the use of the tracer is appropriate.

- 692
- 693 Score of 4 to 6, Uncertain:
- 694 6 Uncertain, but possibility that the use of the tracer is appropriate.
- 695 5 Uncertain, evidence is inconclusive or lacking.
- 696 4 Uncertain, but possible that the use of the tracer is rarely appropriate.
- 697
- 698 Score of 1 to 3, Rarely Appropriate:
- 699 3 Only somewhat confident that the use of the tracer is rarely appropriate.
- 2 Moderately confident that the use of the tracer is rarely appropriate.
- 1 Highly confident that the use of the tracer is rarely appropriate.
- 702

After each round of voting, the resulting ratings given for each indication were tabulated and reported to the workgroup. When an indication received all 14 workgroup members' ratings in a single category of Appropriate, Uncertain, or Rarely Appropriate, that indication was considered to have reached a consensus rating and was removed from the next round of voting. When voting for an indication resulted in all but 1 vote falling into the same category, that vote was considered an outlier and removed from the ratings.

709

710 The first round of voting was an anonymous online survey in which each member was asked to 711 assign a single rating to each indication and enter a rationale for that rating. Workgroup

711 assign a single rating to each indication and enter a rationale for that rating. Workgroup 712 members were then brought together for a series of 5 virtual meetings to complete the Delphi 713 members. The virtual meetings become with a presentation of the first round our voir rating results

process. The virtual meetings began with a presentation of the first-round survey rating results
 and rationales. After extensive discussion, a second round of online voting was collected and
 tabulated. The results were reported to the workgroup for further discussion. In this final round

- of deliberation, the workgroup reached consensus on each indication, with all members rating the remaining indications as falling within the same category of Appropriate, Uncertain, or
- 718 Rarely Appropriate.
- 719
- 720 7.5. Revisiting Clinical Scenarios Involving AD Therapeutics
- 721

Significant advances in AD therapeutics occurred following the initial round of scenario scoring
 and prior to publication of these updated AUC. These advances include the publication of
 positive pivotal phase 3 clinical trials of the anti-amyloid monoclonal antibodies lecanemab(<u>118</u>)
 and donanemab(<u>41</u>) and traditional FDA approval of lecanemab in July 2023. Given the

prominent role of amyloid PET (and to a lesser degree tau PET) in the clinical trials and future

implementation of these therapies in clinical practice, the workgroup reconvened in August 2023
 to revote on Clinical Scenarios 14 and 15, which pertain to the appropriateness of amyloid and

tau PET to evaluate eligibility for, or monitoring response to, anti-amyloid therapeutics. Changes

- in scenario rankings between August 2021 and August 2023 are described in the text.
- 731

### 732 8. AUC for Amyloid and Tau PET Clinical Scenarios

733 8.1. Criteria for Clinical Scenarios

The following general principles served as the "litmus test" for appropriateness of amyloid or tau
 imaging across all clinical scenarios:

- 7361.AD is considered a likely etiology of cognitive impairment, but the etiology remains737uncertain after a comprehensive evaluation by a dementia expert.
- 7382.Knowledge of the presence or absence of amyloid tau pathology is expected to help739establish the etiology of impairment and alter management.

- 740 The workgroup recommends that these principles be met in all patients referred for clinical 741 amyloid/tau PET across all clinical scenarios.
- 741 742

752 753

#### 743 8.2. Anticipated Impact on Patient Care

The guiding principle for clinicians considering amyloid and tau PET is that the results of these studies should have a direct impact on patient care by aiding diagnosis of the cause of cognitive decline and thus guide patient management. Establishing the cause of impairment can inform the care plan in a variety of ways, including the following:

- 7481.Determining eligibility for drug treatment (e.g., approved and emerging molecular-749specific therapies for AD and approved AD symptomatic treatments that are not750indicated in other disorders).
  - 2. Counseling the patient and family regarding prognosis.
  - 3. Reducing the need for alternative diagnostic tests for AD (e.g., CSF biomarkers) or initiating a workup for non-AD conditions.
- 7544.Helping inform decisions about patient safety (e.g., independent living, driving) and755future planning (e.g., initiating or activating advance directives).
- The workgroup strongly emphasized the "value of knowing" in patients seeking care for

cognitive changes(<u>119-121</u>), beyond concrete changes in patient management. Furthermore,

amyloid and tau PET results can determine whether a patient is eligible to participate in clinical

- research studies, including clinical trials.
- 760 In evaluating the utility of amyloid and tau PET, clinicians should consider patient-specific
- factors such as stage of impairment and age. Generally speaking, determining amyloid and tau
- status is more useful in the early stages of impairment and may be less impactful in patients
- who already have moderate-to-severe dementia. Although tau PET positivity is more strongly
   linked to cognitive symptoms, the prevalence of amyloid PET positivity increases with age in CU
- people, ranging in prevalence from  $\sim 10\%$  at age 50 to  $\sim 45\%$  at age 90(122, 123). In each age
- strata, the likelihood of amyloid PET positivity is 2-3 times higher in individuals who carry 1 or
- more copies of the apolipoprotein E  $\epsilon$ 4 risk allele (*APOE4*) than in *APOE4* non-carriers.
- 768 Therefore, whereas a *negative* amyloid PET scan is always useful for ruling out AD, the clinical

relevance of a positive scan should take into account a patient's cognitive status, age, and the

- baseline prevalence of amyloid positivity in similarly aged unimpaired individuals.
- The decision to pursue amyloid or tau PET should result from shared decision making between
   the ordering clinician, patient, and family and should take into account the patient's and family's
- desire to know the amyloid/tau status in light of each possible test outcome (including positive,
- negative, or indeterminate results). Although current data, obtained primarily in research
- settings, suggest that amyloid PET results can be disclosed safely and do not typically cause
- psychological harm, the individual mental health circumstances and support networks of the
   imaging candidate should be considered. Finally, as insurance coverage for amyloid and tau
- 777 Imaging candidate should be considered. Finally, as insurance coverage for amyloid and fat
   778 PET remains uncertain for many patients, the decision-making process should address the
- potential for co-payment and other out-of-pocket costs(*124*, *125*).
- 780

Although the workgroup sought to highlight the most common clinical scenarios under which amyloid and tau PET may be considered, a limited number of standardized scenarios can never capture the heterogeneity of patients in clinical practice, nor convey the complexity of clinical decision making for individual patients. Therefore, the criteria presented here should be considered as guidelines for clinicians, but not as a substitute for careful clinician judgment that considers the full clinical context for each patient who presents with cognitive complaints. In developing the scenarios, the workgroup considered the degree to which PET results would inform patient diagnosis and care from the available literature most relevant to the scenario'sclinical circumstance.

- 790
- 791

8.3. Clinical Scenarios and Appropriateness Ratings for Amyloid and Tau PET Imaging

792 793 The appropriateness scores (based on majority vote on the appropriateness scale at the 794 conclusion of the Delphi process) for each clinical scenario are presented in Table 2. The 795 overall categorizations of each scenario as appropriate, uncertain, or rarely appropriate for each 796 modality are presented in Table 3. It is important to note that each of the ratings for the clinical 797 scenarios presented below reflect the level of appropriate use of each modality by itself: amyloid 798 imaging independent or in the absence of tau imaging, and tau imaging independent or in the 799 absence of amyloid imaging. The use of both modalities in combination is discussed later in the document (see Section 9: Value of Tau PET Imaging in Combination With Amyloid PET 800 801 Imaging). In addition, although several studies have evaluated the clinical impact of amyloid 802 PET, there is a paucity of data about clinical uses of tau PET, which to date has primarily been used in research studies. As a result, workgroup recommendations regarding tau PET were 803 804 often based on expert opinion and are not yet supported by empirical evidence. Therefore, the workgroup generally had lower confidence in the appropriateness of tau PET in most scenarios. 805 806 807

20

## Table 2: Clinical Scenarios and Appropriateness Ratings for Amyloid and Tau PET Imaging

Clinical Scenario	Rating <sup>a</sup>	
	Amyloid PET	Tau PET
<b>Clinical Scenario #1:</b> Patients who are CU who are not considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	1	1
<b>Clinical Scenario # 2:</b> Patients who are CU but considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	2	1
<b>Clinical Scenario # 3:</b> Patients with SCD (cognitively unimpaired based on objective testing) who are <i>not</i> considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	2	1
<b>Clinical Scenario # 4:</b> Patients with subjective cognitive decline (CU based on objective testing) who are considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	6	2
<b>Clinical Scenario # 5:</b> Patients presenting with MCI or dementia syndrome who are younger than 65 years and in whom AD pathology is suspected	9	8
<b>Clinical Scenario # 6</b> : Patients presenting with MCI or dementia syndrome that is often consistent with AD pathology (amnestic presentation) with onset at 65 years or older	8	6
<b>Clinical Scenario # 7</b> : Patients presenting with MCI or dementia syndrome that could be consistent with AD pathology but has atypical features (e.g., non-amnestic clinical presentation, rapid or slow progression, etiologically mixed presentation)	8	7
Clinical Scenario # 8: To determine disease severity or track disease progression in patients with an established biomarker-supported diagnosis of MCI or dementia due to AD pathology	1	4
Clinical Scenario # 9: Patients presenting with prodromal Lewy body disease or DLB	2	4
Clinical Scenario # 10: Patients with MCI or dementia with recent CSF biomarker results that are conclusive (whether consistent or not consistent with underlying AD pathology)	3	6
<b>Clinical Scenario # 11:</b> Patients with MCI or dementia with equivocal or inconclusive results on recent CSF biomarkers	8	6
Clinical Scenario # 12: To inform the prognosis of patients presenting with MCI due to clinically suspected AD pathology	8	7
Clinical Scenario # 13: To inform the prognosis of patients presenting with dementia due to clinically suspected AD pathology	4	7
Clinical Scenario # 14: To determine eligibility for treatment with an approved amyloid-targeting therapy	<b>9</b> <sup>b</sup>	8 <sup>b</sup>
Clinical Scenario # 15: To monitor response among patients who have received an approved amyloid-targeting therapy	8 <sup>b</sup>	5
Clinical Scenario # 16: Nonmedical usage (e.g., legal, insurance coverage, or employment screening)	1	1
Clinical Scenario # 17: In lieu of genotyping for suspected autosomal dominant mutation carriers	1	1

- <sup>a</sup>A score of 1–3 is rarely appropriate, of 4–6 is uncertain, and of 7–9 is appropriate. <sup>b</sup>Scores
- 811 reflect revoting in August 2023. See text for more details.

#### 812 Table 3: Clinical Scenarios for Amyloid and Tau PET

Clinical Scenarios for Amyloid PET	Rating <sup>a</sup>
Appropriate	
<b>Clinical Scenario # 5:</b> Patients presenting with MCI or dementia who are younger than 65 years and in whom AD pathology is suspected	9
<b>Clinical Scenario # 6:</b> Patients presenting with MCI or dementia syndrome that is often consistent with AD pathology (amnestic presentation) with onset at 65 years or older	8
<b>Clinical Scenario # 7</b> : Patients presenting with MCI or dementia syndrome that could be consistent with AD pathology but has atypical features (e.g., non-amnestic clinical presentation, rapid or slow progression, etiologically mixed presentation)	8
Clinical Scenario # 11: Patients with MCI or dementia with equivocal or inconclusive results on recent CSF biomarkers	8
<b>Clinical Scenario # 12:</b> To inform the prognosis of patients presenting with MCI due to clinically suspected AD pathology	8
<b>Clinical Scenario # 14:</b> To determine eligibility for treatment with an approved amyloid- targeting therapy	9 <sup>b</sup>
<b>Clinical Scenario # 15:</b> To monitor response among patients who have received an approved amyloid-targeting therapy	8 <sup>b</sup>
Uncertain	
<b>Clinical Scenario # 4:</b> Patients with SCD (CU based on objective testing) who are considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	6
<b>Clinical Scenario # 13:</b> To inform the prognosis of patients presenting with dementia due to clinically suspected AD pathology	4
Rarely Appropriate	
<b>Clinical Scenario #1:</b> Patients who are CU who are not considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	1
<b>Clinical Scenario # 2:</b> Patients who are CU but considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	2
<b>Clinical Scenario # 3:</b> Patients with SCD (CU based on objective testing) who are <i>not</i> considered to be at increased risk for AD based on age, known <i>APOE4</i> genotype, or multigenerational family history	2
<b>Clinical Scenario # 8:</b> To determine disease severity or track disease progression in patients with an established biomarker-supported diagnosis of MCI or dementia due to AD pathology	1
Clinical Scenario # 9: Patients presenting with prodromal Lewy body disease or DLB	2
<b>Clinical Scenario # 10</b> : Patients with MCI or dementia with recent CSF biomarker results that are conclusive (whether consistent or not consistent with underlying AD pathology)	3
Clinical Scenario # 16: Nonmedical usage (e.g., legal, insurance coverage, or employment screening)	1
Clinical Scenario # 17: In lieu of genotyping for suspected autosomal dominant mutation carriers	1

#### 813 814

Clinical Scenarios for Tau PET	Rating <sup>a</sup>
Appropriate	
<b>Clinical Scenario # 5:</b> Patients presenting with MCI or dementia who are younger than 65	8
years and in whom AD pathology is suspected	
<b>Clinical Scenario # 7</b> : Patients presenting with MCI or dementia syndrome that could be	7
consistent with AD pathology but has atypical features (e.g., non-amnestic clinical	
presentation, rapid or slow progression, etiologically mixed presentation)	

Clinical Scenario # 12: To inform the prognosis of patients presenting with MCI due to clinically suspected AD pathology	7
<b>Clinical Scenario 4 13:</b> To inform the prognosis of patients presenting with dementia due to	7
clinically suspected AD pathology	<b>o</b> h
Clinical Scenario # 14: To determine eligibility for treatment with an approved amyloid-	85
targeting therapy	
Uncertain	0
Clinical Scenario # 6: Patients presenting with MCI or dementia syndrome that is often	6
consistent with AD pathology (amnestic presentation) with onset at 65 years or older	
Clinical Scenario # 8: To determine disease severity or track disease progression in	4
patients with an established biomarker-supported diagnosis of MCI or dementia due to AD	
pathology	
<b>Clinical Scenario # 9:</b> Patients presenting with prodromal Lewy body disease or DLB	4
<b>Clinical Scenario # 10</b> : Patients with MCI or dementia with recent CSF biomarker results	6
that are conclusive (whether consistent or not consistent with underlying AD pathology)	
<b>Clinical Scenario # 11:</b> Patients with MCI or dementia with equivocal or inconclusive	6
results on recent CSF biomarkers	
<b>Clinical Scenario # 15:</b> To monitor response among patients who have received an	5
approved amyloid-targeting therapy	
Rarely Appropriate	
Clinical Scenario #1: Patients who are CU who are not considered to be at increased risk	1
for AD based on age, known APOE4 genotype, or multigenerational family history	
Clinical Scenario # 2: Patients who are CU but considered to be at increased risk for AD	1
based on age, known APOE4 genotype, or multigenerational family history	
Clinical Scenario # 3: Patients with SCD (CU based on objective testing) who are not	1
considered to be at increased risk for AD based on age, known APOE4 genotype, or	
multigenerational family history	
Clinical Scenario # 4: Patients with SCD (CU based on objective testing) who are	2
considered to be at increased risk for AD based on age, known APOE4 genotype, or	
multigenerational family history	
Clinical Sconario # 16: Nonmodical usado (o.g., logal, insuranco coverago, er employment	1
connear scenario # 10. Nonineurear usage (e.g., regar, insurance coverage, or employment	1
Successing)	1
chinical Scenario # 17: In lieu of genolyping for suspected autosomal dominant mutation	I
camers	

- <sup>a</sup>A score of 1–3 is rarely appropriate, of 4–6 is uncertain, and of 7–9 is appropriate. <sup>b</sup>Scores
- 816 reflect revoting in August 2023. See text for more details.
- 817 8.4. Rationale for Clinical Scenario Appropriateness Ratings
- 818

#### 819 Clinical Scenario 1

# 820 821 Patients who are CU, who are not considered to be at increased risk for AD based on age, 822 known APOE4 construction or multiconstrational family history.

- 822 known *APOE4* genotype, or multigenerational family history
- 823

826

- 824 <u>Consensus ratings</u> 825 Amyloid - 1 H
  - Amyloid 1 Highly confident that the use of the tracer is rarely appropriate.
  - Tau -1 Highly confident that the use of the tracer is rarely appropriate.
- 827 Amyloid
- 828 This scenario refers to CU individuals (Section 3: Key Definitions) who are not at heightened risk of
- 829 developing AD based on their age, APOE genotype, or family history. As discussed earlier, a
- 830 significant minority of such individuals will have positive amyloid PET scans. This preclinical stage
- of AD is an area of active investigation in both observational research and drug trials aimed at the
- prevention of future cognitive decline. Group-level analyses clearly indicate that amyloid PET-
- positive CU individuals show accelerated cognitive decline compared with amyloid PET-negative

- 834 CU individuals and are at heightened risk of developing MCI or dementia(<u>126-128</u>) (see Section
- 11: Further Research Questions). However, at the individual patient level, there remains significant
- 836 uncertainty about cognitive outcomes, and many amyloid-positive individuals do not develop
- clinically meaningful cognitive impairment even with relatively extended follow-up(<u>129</u>). Currently,
   the uncertain clinical utility outweighs any benefits, although the availability of proven preventive
- the availability of proven preventive therapies would undoubtedly alter this judgment. Consequently, the workgroup classified this
- indication as rarely appropriate (rating = 1).

- 842 *Tau*
- 843 The vast majority of CU individuals will show either completely negative tau PET results or
- retention limited to the medial temporal lobe but sparing the neocortex; this is insufficient for a
- positive tau PET read based on the FDA-approved visual read criteria (Figure 2)(<u>130-133</u>). Tau
   PET uptake outside the medial temporal lobe is exceedingly rare in individuals who have negative
- amyloid PET results. Emerging data suggest that individuals who have positive results for both amyloid *and* tau PET scans are at higher risk of imminent cognitive decline compared with patients who have positive results on just 1 of the 2 scans, or negative results on both [81-83]. Up to 50% of
- 850 amyloid-negative individuals show isolated tau PET uptake in the medial temporal lobe, and these
- individuals as a group show slower clinical decline compared with those with medial temporal tau
   *and* amyloid PET positivity(<u>134</u>). Clearly, there is much yet to learn in terms of how best to apply
   tau PET along the continuum of cognitive functioning, alone and in tandem with amyloid imaging.
- 854 From the paucity of data, especially regarding individual patient risk, the workgroup classified tau 855 PET as rarely appropriate in this scenario (rating = 1).
- 856

864

### 857 Clinical Scenario 2858

# Patients who are CU but considered to be at increased risk for AD based on age, known *APOE4* genotype, or multigenerational family history

- 862 <u>Consensus ratings</u>
- 863 Amyloid 2 Moderately confident that the use of the tracer is rarely appropriate.
  - Tau 1 Highly confident that the use of the tracer is rarely appropriate.

#### 865 866 *Amyloid*

- 867 Amyloid positivity is associated with age, family history, and *APOE4* genotype(<u>123</u>, <u>135</u>).
- Furthermore, age and *APOE4* genotype increase the risk of developing MCI or dementia in CU individuals who have positive results for amyloid PET(<u>135-137</u>). These individuals may be more likely to seek memory specialist care to determine their risk of developing AD because of family history or known genetic risk, as *APOE* testing is available through several straight-to-consumer genetic testing platforms. Current recommendations to ameliorate AD risk involve optimizing treatment of vascular risk factors, in addition to lifestyle factors that highlight the importance of
- 874 physical, cognitive, and social activity; diet; and adequate sleep. These recommendations are
- 875 universal regardless of an individual's risk of AD or amyloid status. As a result, the workgroup
- concluded that amyloid PET would be rarely appropriate in this scenario, acknowledging that this isan evolving clinical decision point affected by the need to know and by the possibility of future
- 878 preventive pharmacological interventions (rating = 2).
- 879

880 *Tau* 

As described in Scenario 1, currently available information about the utility of tau PET in this

- scenario is limited. The workgroup concluded that tau PET is rarely appropriate in this scenario
- 883 (rating = 1).

#### 885 Clinical Scenario 3

886

# Patients with SCD (CU based on objective testing) who are *not* considered to be at elevated risk for AD based on age, known APOE4 genotype, or multigenerational family history

890

#### 891 <u>Consensus ratings</u> 892 Amyloid - 2

- Amyloid 2 Moderately confident that the use of the tracer is rarely appropriate.
- 893 Tau 1 Highly confident that the use of the tracer is rarely appropriate.

#### 894 Amyloid

- 895 Subjective cognitive decline (SCD) (Section 3: Key Definitions(<u>138</u>)) is common(<u>139</u>). In
- general, having SCD doubles the risk of developing MCI(<u>140</u>, <u>141</u>), but the time lag from
- detection of SCD to MCI averaged 9.4 years (SD 12.1 years) in 1 study(<u>142</u>). In another cohort,
- incident MCI occurred in only 4 of 318 (1%) SCD participants after 24 months(<u>142</u>). Persons
- 899 with SCD who seek evaluation in a memory clinic may be at higher risk of decline than are
- 900 individuals with SCD in the general population(<u>143</u>). The clinically defined construct of SCD
- covers a surprisingly wide spectrum of phenomena that could be construed as representing a
   change from prior level of function. Some(<u>140</u>), but not all, studies show that carriage of an
- APOE4 allele increases the risk of decline. Higher age, especially over age 80 years, is
- 904 predictive of greater risk. On clinical grounds, the greater the consistency and breadth of
- cognitive complaints, the higher the likelihood of subsequent development of MCI(<u>141</u>).
   Because of the long delay between detection of SCD and objective cognitive impairment, the
- highly variable likelihood of developing it, and the frequent presence of amyloid in an otherwise
   "normal" population, biomarker evidence of risk in SCD is necessarily of less certain prognostic
   value. Prognostic value of imaging biomarkers for AD in SCD is a complex function of length of
   time horizon, age, and presence of comorbidities.
- 911
- 912 Elevated amyloid is at least as common among persons >65 years old with SCD as in CU
- 913 persons and may be slightly (but not dramatically) higher(<u>144-147</u>), is probably an interaction
- between the magnitude of SCD and amyloid burden(<u>148</u>, <u>149</u>), and might predict more cognitive
- 915 impairment(<u>150</u>). The workgroup members, in noting that elevated amyloid conveyed little
- 916 prognostic information and no actionable preventive interventions in persons with SCD who
- 917 lacked an *APOE4* allele or multigenerational family history, felt that amyloid imaging is rarely
- 918 appropriate (rating = 2).919

#### 920 *Tau*

Because elevations in tau PET are so closely tied to the degree of cognitive impairment, the
probability of meaningfully elevated tau PET (outside of the medial temporal lobe) is very low in
persons with SCD(*125*), who by definition have normal objectively measured cognition.

- 924 Therefore, tau PET was considered by the workgroup to be rarely appropriate (rating = 1).
- 925
- 926 Clinical Scenario 4
- Patients with SCD (CU based on objective testing) who are considered to be at increased
   risk for AD based on age, known APOE4 genotype, or multigenerational family history
- 93

#### 931 <u>Consensus ratings</u> 932 Amyloid - 6

Amyloid - 6 Uncertain, but possibility that the use of the tracer is appropriate.

- 933 Tau - 2 Moderately confident that the use of the tracer is rarely appropriate.
- 934 Amyloid

935 As discussed in Scenario 3, persons with SCD who are older, carry the APOEe4 risk allele, or 936 have a multigenerational family history are at higher risk of developing MCI/dementia. In these 937 individuals, SCD is more likely to represent the earliest symptomatic stages of AD. Both positive 938 and negative amyloid PET results may be informative to these individuals. Nevertheless, 939 because the degree of individual risk and the time course for developing impairment are highly 940 uncertain(88, 126, 136, 143) in this population, preventive measures are limited to generally 941 applicable lifestyle and health recommendations. Balancing these competing factors, the 942 workgroup was ultimately uncertain but endorsed the possibility that amyloid PET may be 943 appropriate in this scenario (rating = 6). 944 945 Tau 946 Even in persons with risk factors such as older age, APOE4 genotype, or multigenerational

947 family history, the probability of meaningfully elevated tau outside of the medial temporal lobe is 948 very low in persons with SCD(145), who by definition have normal objectively measured 949 cognition. Therefore, tau PET was considered by the workgroup to be rarely appropriate (rating 950 = 2). 951

- 952 **Clinical Scenario 5**
- 953

#### 954 Patients presenting with MCI or dementia who are younger than 65 years and in whom 955 AD pathology is suspected 956

- 957 Consensus ratings
- 958 959

Amyloid - 9 High confidence that use of the tracer is appropriate. Tau - 8 Moderately confident that use of the tracer is appropriate.

- 960 961

#### 962 Amyloid

963 Young-onset dementia or MCI is defined as individuals who present with cognitive impairment 964 before the age of 65(151). A recent meta-analysis identified the prevalence of young-onset 965 dementia in ages 30-64 to be 119.0 per 100,000 persons, with AD being the leading cause, 966 followed by FTD and vascular dementia(152). Although the age cutoff of 65 is arbitrary, 967 neuropathological evidence suggests greater amyloid and tau burden in younger than in older 968 individuals affected by AD(153, 154). As these working-aged individuals are in the prime of life 969 and are often supporting families, accurately diagnosing the cause of impairment is particularly 970 important. The greater frequency of atypical (non-amnestic) clinical presentations in young-971 onset AD(55), involving initial impairment in executive, language, visual, and (more rarely) 972 behavior or motor function, often leads to delays in diagnosis or misdiagnosis that affects 973 treatment(155, 156). Given the lower frequency of coexisting pathologies in young-onset AD 974 brains(157), this population may be more likely to benefit from specific therapeutic agents 975 targeting amyloid and tau.

976

- 977 Amyloid PET is highly accurate in detecting AD neuropathology in patients with young-onset
- 978 impairment. Rates of amyloid positivity are much lower in this age group in CU people or
- 979 patients with other neurodegenerative syndromes(67, 123, 158). Conversely, in patients
- 980 presenting clinically with an amnestic dementia, the prevalence of amyloid PET positivity
- 981 decreases with increasing age due to a higher prevalence of non-AD neuropathologies that

affect the medial temporal lobe (e.g., limbic-predominant age-related TDP-43 encephalopathy
[LATE])(<u>123</u>, <u>159</u>). Taken together, in the setting of a clinical syndrome suggestive of AD,
amyloid PET positivity in young-onset dementia and MCI can be helpful for ruling in AD as the
underlying neuropathology. Overall, the workgroup concluded that amyloid PET is appropriate in
this scenario (rating = 9).

#### 987 988 *Tau*

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989 Similarly, tau PET can be helpful in detecting AD pathology in young-onset AD, with higher overall intensity and spatial spread of radiotracer retention compared with that in older patients 990 at a similar disease stage(<u>160</u>). Patients with young-onset AD are more likely to be in advanced 991 992 Braak stages of neurofibrillary pathology even at the MCI stage(160), increasing the likelihood 993 of a positive tau PET scan(38, 161, 162). Furthermore, variability in tau PET retention patterns 994 closely mirrors the variability seen in neurodegeneration patterns (via MRI or <sup>18</sup>F-FDG-PET) in 995 young-onset AD(158, 163, 164). Overall, from the current evidence, the workgroup concluded that tau PET is appropriate in this scenario (rating = 8). 996

#### 998 Clinical Scenario 6

#### 1000 Patients presenting with MCI or dementia syndrome that is often consistent with AD 1001 pathology (amnestic presentation) with onset at 65 years or older

#### 1003 Consensus ratings

Amyloid - 8 Moderately confident that use of the tracer is appropriate. Tau - 6 Uncertain, but possibility that the use of the tracer is appropriate.

#### 1007

#### 1008 Amyloid

1009 This scenario addresses cognitively impaired older adults who meet clinical criteria for MCI or a 1010 dementia syndrome that is amnestic in presentation and otherwise consistent with AD. In the original amyloid PET AUC, it was felt that amyloid PET would not add much value in individuals 1011 1012 with dementia who have symptoms and an age of onset that is typical of AD(12). However, subsequent reports from both observational studies and drug trials reported that 15%-20% of 1013 1014 individuals clinically diagnosed with late-onset probable AD dementia (including ~35% of 1015 APOE4-negative individuals) have negative amyloid PET results (165, 166). Interestingly, the prevalence of amyloid PET positivity *decreases* with older age in patients with clinically typical 1016 amnestic dementia, likely reflecting an increasing prevalence of non-AD pathologies (e.g., 1017 1018 vascular, LATE) that can mimic AD clinically(123). The rates of amyloid PET positivity in late-1019 onset MCI range from 45% to 70% (167), increasing with age and APOE4 genotype. Thus, there 1020 is almost always diagnostic uncertainty about the contribution of AD at the MCI stage. As 1021 discussed earlier, amyloid positivity is also common in CU older adults and may be less specific 1022 among older patients in general. With advanced age comes an increasing likelihood that 1023 medical comorbidities and/or other coexisting pathologies (including overlapping 1024 neurodegenerative diseases) are contributing to the clinical presentation of cognitive 1025 impairment(21). Nevertheless, a positive scan can, by virtue of satisfying the biomarker criteria 1026 required for a diagnosis of AD in persons with MCI or dementia, reduce the need for further 1027 diagnostic testing and heighten confidence in the management approach. In contrast, a 1028 negative scan can serve to rule out AD pathology as a cause of the observed impairment, triggering an alternative course for the diagnostic workup and resulting management plan. In the 1029 1030 Imaging Dementia-Evidence for Amyloid Scanning (IDEAS) study, amyloid PET imaging was

positive in 55.3% of patients with MCI over age 65 and led to changes in patient management in 60.2% of these patients(165). From these data, the workgroup concluded that amyloid PET is appropriate in this scenario (rating = 8).

1034 1035 *Tau* 

1036 The workgroup acknowledged the mounting data supporting the accuracy of tau PET for 1037 identifying pathological changes of AD and the high predictive value (i.e., correlation with a 1038 histopathological reference standard) of such findings for patients presenting with dementia(38, 1039 161). However, given the evidence that a positive <sup>18</sup>F-FTP tau PET result (as rated by FDA-1040 approved visual read criteria) reliably detects primarily advanced stages of tau pathology (Braak 1041 stages V-VI), a negative FTP tau PET visual read does not exclude the presence of clinically 1042 meaningful tau pathology (i.e., Braak stages III-IV), which represents the median tau pathology 1043 seen at autopsy in patients who died with MCI, as well as in some patients who died with dementia(161). In contrast to that for amyloid PET, the positive predictive value of FTP tau PET 1044 1045 in patients with MCI or dementia is high, whereas the *negative predictive value* is uncertain, 1046 especially in older patients who may develop impairment at lower levels of tau pathology. The 1047 workgroup also acknowledged the need for additional research on the utility of tau PET for 1048 clinical decision making in cognitively symptomatic patients at both the MCI and dementia 1049 stages of impairment. Ultimately, the workgroup was uncertain but endorsed the possibility that FTP tau PET may be appropriate in this scenario (rating = 6). 1050 1051

#### 1052 Clinical Scenario 7

1053

# Patients presenting with MCI or dementia syndrome that could be consistent with AD pathology but has atypical features (e.g., non-amnestic clinical presentation, rapid or slow progression, etiologically mixed presentation)

### 1058Consensus ratings1059Amyloid - 8

Amyloid - 8 Moderately confident that use of the tracer is appropriate. Tau - 7 Only somewhat confident that the use of the tracer is appropriate.

#### 1062

1060

1061

#### 1063 Amyloid

Symptomatic cognitive impairment due to AD is clinically heterogenous. Although memory loss 1064 1065 is the most common presenting symptom, an estimated 20%-25% of patients present with nonamnestic syndromes, including primary changes in language(168), visuospatial/visuoperceptual 1066 1067 abilities(169), executive functioning(170), and (more rarely) changes in personality, behavior, 1068 and motor functioning(55, 171, 172). Autopsy studies suggest that AD is the most common 1069 underlying neuropathology in patients presenting with the logopenic variant of primary 1070 progressive aphasia (IvPPA)(<u>173</u>, <u>174</u>) and posterior cortical atrophy (PCA) syndromes(<u>52</u>). AD 1071 is also associated with a primary dysexecutive syndrome(170) and is the underlying 1072 neuropathology in ~25% of patients presenting with corticobasal syndrome (CBS)(175). AD 1073 pathology is a relatively rare cause of the behavioral variant of FTD(176, 177) and 1074 nonfluent/agrammatic or semantic variants of PPA(173, 174). Furthermore, although AD is 1075 typically associated with a slow and insidious decline in cognition and function, some patients 1076 present with unusually rapid or slow progression(56, 178). Finally, mixed pathologies are 1077 increasingly common in older patients with MCI and dementia(<u>157</u>, <u>179</u>), and these pathologies can manifest as clinically mixed presentations, with features of both AD and other dementia 1078 1079 syndromes.

1081 Patients presenting with atypical features often present a diagnostic challenge. Amyloid PET 1082 can be helpful in excluding AD neuropathology in these patients(66, 123, 158). A negative 1083 amvloid PET scan may increase clinical suspicion of a non-AD neurodegenerative process such 1084 as frontotemporal lobar degeneration (FTLD), particularly in patients presenting with focal non-1085 amnestic syndromes(180). In patients with mild impairment and slow progression, a negative 1086 amyloid PET scan raises the possibility of a potentially treatable, nondegenerative cause of 1087 impairment (e.g., primary medical, mood, or sleep disorder)(167). Conversely, in patients with 1088 rapid progression, a negative amyloid PET scan may suggest a non-AD neurodegenerative 1089 disease, prion disease, or autoimmune encephalopathy. A positive amyloid PET scan increases 1090 the likelihood that AD is the primary cause of impairment (particularly in IvPPA and PCA, in 1091 which the a priori likelihood of AD is high), or a contributing pathology in patients with 1092 etiologically mixed presentations. As always, the patient's age should be considered in 1093 interpreting the clinical meaningfulness of a positive amyloid PET result, given the increasing 1094 prevalence of amyloid in CU individuals with increasing age(167). In the IDEAS study, 70.1% of 1095 patients with atypical dementia were positive for amyloid PET, leading to changes in 1096 management in 63.5% of these patients (165). Overall, the workgroup concluded that amyloid 1097 PET was appropriate in this scenario (rating = 8).

#### 1098 1099 *Tau*

- 1100 As with amyloid PET, an "AD-like" tau PET binding pattern can help establish AD as a primary 1101 or contributing cause of impairment(38, 161, 162). Furthermore, the spatial pattern of tau PET 1102 often matches brain regions that are clinically affected and show evidence of neurodegeneration on FDG-PET or MRI (e.g., greater involvement of occipital visual processing regions in PCA, 1103 1104 greater left hemisphere involvement in IvPPA, and greater binding in the sensorimotor cortex in 1105 CBS due to AD)(181-184), increasing confidence that the underlying syndrome is due to AD. In 1106 addition, a high tau burden is associated with more rapid clinical progression and a low tau burden with slower progression(177, 185). <sup>18</sup>F-FTP shows absent-to-low binding to tau 1107 aggregates in non-AD tauopathies (e.g., chronic traumatic encephalopathy or tau subtypes of 1108 1109 FTLD)(186, 187), but tau PET should not be used clinically to rule in these conditions. Overall, 1110 the workgroup concluded that tau PET was appropriate in this scenario (rating = 7).
- 1111 1112 Clinical Scenario 8

1112

1114 To determine disease severity or track disease progression in patients with an 1115 established biomarker-supported diagnosis of MCI or dementia due to AD pathology

- 1116
- 1117 <u>Consensus ratings</u>
- 1118 1119

Amyloid - 1 Highly confident that the use of the tracer is rarely appropriate. Tau - 4 Uncertain, but possible that the use of the tracer is rarely appropriate.

- 1120
- 1121

#### 1122 Amyloid

1123 This scenario relates to patients with an *existing* diagnosis of MCI or dementia due to AD 1124 pathology supported by biomarker evidence, for example, a positive amyloid PET scan or a 1125 CSF profile consistent with AD. Cross-sectional and longitudinal studies do not support the use

1125 CSF profile consistent with AD. Cross-sectional and longitudinal studies do not support the use

- of a subsequent amyloid PET to assess the degree of cognitive impairment or to monitor the
- rate of progression of the underlying AD pathological process. Both autopsy and PET studieshave shown that amyloid accumulation begins approximately 2 decades before onset of

- 1129 cognitive decline(<u>167</u>), proceeds in a sigma-shaped fashion, is substantial at the MCI stage, and
- has typically approached a plateau at the stage of mild AD dementia(<u>136</u>, <u>188</u>). There is little
- 1131 further accumulation as clinical manifestations progress, and so serial scans are not helpful to
- 1132 monitor disease progression. In addition, since there is little correlation between the level of 1133 brain amyloid and cognitive function in MCI or AD(*189*), a repeat scan will not provide
- brain amyloid and cognitive function in MCI or AD(<u>189</u>), a repeat scan will not provide information on disease severity. Disease severity and progression in patients in this scenario
- 1134 information on disease sevency. Disease sevency and progression in patients in this 1135 should be tracked by clinical evaluation, including cognitive testing.
- 1136
- 1137 Because a subsequent amyloid scan provides no actionable information about disease severity 1138 or progression in patients with a biomarker-supported diagnosis of MCI or dementia due to AD
- pathology, the workgroup concluded that amyloid PET is rarely appropriate in this clinical
- 1140 scenario (rating = 1).
- 1141 1142 *Tau*
- 1143 In contrast to that for amyloid PET, autopsy and PET studies have shown that the level of
- 1144 cortical tau correlates with cognitive status and symptomatic disease stage(<u>48</u>, <u>190</u>). However,
- data are limited on the clinical utility of serial tau scans. Therefore, the use of tau PET scans to
- track disease progression is uncertain. Currently, such a scan would not change patient
- 1147 management or add additional useful information beyond what is provided by serial clinical
- evaluations, for example, with cognitive testing. It is possible that changes in tau PET could inform prognosis or treatment choices, but this remains to be demonstrated. The method of
- 1150 scan interpretation may play a role in considering the potential utility of serial tau scans. Both
- 1151 quantitative approaches and visual assessment of progression in the spatial pattern of tau could
- be useful. In addition, it should be noted that serial tau scans can have great value as a clinical
- research tool or in anti-AD drug development, as they can reflect disease progression or
- response to therapy. Overall, from currently available data, the workgroup was uncertain but endorsed the possibility that tau PET may rarely be appropriate in this scenario (rating = 4).
- endorsed the possibility that tau PET may rarely be appropriate in this sce 1156

#### 1157 Clinical Scenario 9

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1162 1163

#### 1159 Patients presenting with prodromal Lewy body disease or DLB 1160

1161 <u>Consensus ratings</u>

Amyloid - 2 Moderately confident that the use of the tracer is rarely appropriate. Tau - 4 Uncertain, but possible that the use of the tracer is rarely appropriate.

1164 1165

#### 1166 Amyloid

Dementia with Lewy bodies (DLB) is characterized by predominant deficits in executive and 1167 visuospatial functions, accompanied by additional core clinical features, including 1 or more 1168 1169 spontaneous features of parkinsonism, fluctuating cognition, visual hallucinations, and rapid eye 1170 movement (REM) sleep behavior disorder(191). Biomarkers contributing to the diagnosis are (1) reduced binding of dopamine transporter radioligands in basal ganglia on SPECT or PET 1171 1172 imaging, (2) low uptake of iodine-131 meta-iodobenzylguanidine on myocardial scintigraphy, 1173 and (3) polysomnographic confirmation of REM sleep without atonia. Novel CSF seed amplification assays may provide direct evidence for aggregation of  $\alpha$ -synuclein, the protein 1174 1175 deposited in Lewy bodies and Lewy neurites(192). The diagnosis of DLB is appropriate when

- dementia precedes or occurs concurrently with parkinsonism, whereas a diagnosis of
- 1177 Parkinson's disease with dementia (PDD) is more appropriate when dementia occurs in the 1178 setting of established Parkinson's disease (typically at least 1 year prior to dementia). Proposed

- 1179 criteria for prodromal MCI with LB (MCI-LB) include MCI (particularly involving executive or
- 1180 visuospatial domains with relative sparing of episodic memory) occurring in combination with
- 1181 core DLB clinical and biomarker features. Less well-characterized prodromal DLB presentations
- 1182 are delirium or marked fluctuations in consciousness and late-onset psychiatric presentations, 1183
- including major depression or psychosis(193). The defining neuropathology of DLB is
- widespread limbic and neocortical  $\alpha$ -synuclein-containing Lewy bodies and Lewy neurites. 1184 Approximately 50% of patients with DLB are found to have core features of AD neuropathology, 1185
- 1186 including diffuse and neuritic amyloid plaques and tau NFTs. Given the high prevalence of co-
- pathology, AD-specific biomarkers such as amyloid and tau PET are in general not useful in the 1187
- 1188 diagnostic evaluation of DLB.
- 1189
- 1190 Amyloid PET is positive in over 50% of patients with DLB(123), corresponding to the high prevalence of amyloid plaques (diffuse more than neuritic plaques) at autopsy. Previous studies 1191
- reported rates of 35%-40% amyloid PET positivity in patients with MCI-LB(165, 194). As in 1192
- 1193 other disorders, amyloid positivity is more common with increased age and the presence of the
- APOE4 genotype. The pattern of amyloid tracer uptake is similar to that of AD, whereas binding 1194
- 1195 intensity is on average intermediate between controls and those with dementia due to AD(195).
- 1196 Overall, a positive amyloid PET scan does not help distinguish AD from DLB, although a
- 1197 negative scan can help exclude an AD diagnosis. Amyloid PET is more frequently positive in
- DLB than in PDD, and scan positivity is associated with lower cognitive performance and more 1198
- rapid cognitive decline in PD, whereas results in DLB are mixed(195). Amyloid PET results may 1199
- not influence drug treatment, since acetylcholinesterase inhibitors are indicated in both DLB and 1200
- 1201 AD, and anti-amyloid antibody treatment would not be currently indicated in patients with clinical
- features of DLB. Overall, the workgroup concluded that amyloid PET is rarely appropriate in the 1202 evaluation of suspected DLB in its fully established or prodromal stages (rating = 2). 1203
- 1204
- 1205 Tau

1206 Tau NFT co-pathology is also often identified at autopsy in patients with PDD and DLB and 1207 contributes to cognitive impairment(<u>196</u>, <u>197</u>). The tau PET signal in DLB is on average 1208 intermediate between that in AD dementia and controls and higher than that in PDD(198-200). 1209 Tracer uptake is typically seen in the temporoparietal and occipital cortex, with relative sparing of the medial temporal lobes. Tau PET positivity is associated with amyloid PET positivity 1210 (although it is also seen in some amyloid-negative patients) and correlates with lower cognitive 1211 1212 performance(201-204). A single small study of tau PET in prodromal DLB did not find elevated 1213 binding compared with that in controls(205). Overall, tau PET is unlikely to differentiate between DLB, PDD, and AD, although a positive scan increases the likelihood that AD pathology is 1214 1215 contributing to cognitive impairment. As with amyloid PET, results of tau PET are unlikely to affect drug treatment. Overall, from a relatively small number of available studies, the workgroup 1216 1217 was uncertain whether tau PET was appropriate in DLB, but felt it was possible that the 1218 indication was rarely appropriate (rating = 4).

- 1219
- 1220 **Clinical Scenario 10**
- 1221

#### 1222 Patients with MCI or dementia with recent CSF biomarker results that are conclusive 1223 (whether consistent or not consistent with underlying AD pathology) 1224

- 1225 Consensus ratings
- 1226 Amyloid - 3 Only somewhat confident that the use of the tracer is rarely appropriate. Tau – 6 Uncertain, but possibility that the use of the tracer is appropriate. 1227

- 1228
- 1229

#### 1230 Amyloid

1231 When abnormal levels of brain amyloid are being determined, the CSF A $\beta$ 42/A $\beta$ 40 and P-

1232 tau181/ A $\beta$ 42 ratios are highly congruent with the results obtained by using amyloid PET

imaging(<u>206</u>). Consequently, there is generally no need to perform an amyloid PET scan in
 patients with clearly abnormal or normal CSF biomarker ratios. However, amyloid PET does

- patients with clearly abnormal or normal CSF biomarker ratios. However, amyloid PET does
   offer additional information beyond CSF biomarker ratios. Whereas CSF assays measure
- 1236 concentrations of soluble amyloid and P-tau monomers, amyloid PET characterizes the
- 1237 magnitude and spatial distribution of fibrillar amyloid plaque deposition. CSF may also detect
- 1238 amyloid-related changes prior to amyloid PET scan positivity. However, this additional
- 1239 information obtained from PET was felt to rarely lead to changes in diagnosis or management.
- 1240 Overall, the workgroup concluded that amyloid PET in this scenario is rarely appropriate (rating 1241 = 3). Although the group did not specifically discuss the utility of amyloid PET in patients with
- 1241 = 3). Although the group did not specifically discuss the utility of am1242 conclusive plasma AD biomarkers, similar principles would apply.
- 1243

#### 1244 *Tau*

1245 Few studies to date have evaluated the additional value of tau PET in patients with MCI and 1246 dementia with known CSF biomarker results. Even though CSF p-tau217 and p-tau181 1247 concentrations correlate with the tau PET signal, the magnitude of correlation is modest; similar 1248 CSF concentrations can associate with highly variable degrees of tau PET uptake and spatial spread(85, 86). In cognitively impaired patients, tau PET is more strongly associated with 1249 cognitive function than is CSF p-Tau concentration(80). Accumulating evidence indicates that 1250 1251 CSF levels of p-tau change earlier than the tau PET signal in preclinical AD(94, 113), reaching a 1252 relative plateau during the symptomatic stage of the disease (207, 208), whereas the tau PET 1253 signal continues to increase in patients with AD dementia(129, 209). Further, the fluid measures 1254 do not provide any regional information on tau pathology. Consequently, it is plausible that tau 1255 PET might add important information beyond CSF biomarkers, for example, for defining AD 1256 subtypes(210) and predicting subsequent cognitive decline(177), but additional studies are needed and the implications for patient care remain unclear. Overall, the workgroup was 1257 1258 uncertain but endorsed the possibility that tau PET may be appropriate in this scenario (rating = 1259 6). Although the group did not specifically discuss the utility of tau PET in patients with 1260 conclusive plasma AD biomarkers, similar principles would apply. 1261

1262 Clinical Scenario 11

# Patients with MCI or dementia with equivocal or inconclusive results on recent CSF biomarkers

Amyloid - 8 Moderately confident that use of the tracer is appropriate. Tau - 6 Uncertain, but possibility that the use of the tracer is appropriate.

- 1266 1267 <u>Consensus ratings</u>
- 1268
- 1269
- 1270 1271

#### 1272 Amyloid

- 1273 Considering the bimodal distribution of the A $\beta$ 42/A $\beta$ 40 and P-tau/A $\beta$ 42 biomarker ratios,
- relatively few patients are close to the cutoffs used to define abnormality(<u>82</u>, <u>83</u>). However, in
- 1275 those patients with ratios very close to the established cutoffs, an amyloid PET scan could be
- 1276 considered to determine the A $\beta$  status more confidently. The 2 ratios mentioned here are more

- 1277 accurate than single CSF biomarkers for determining brain amyloid status. For example,
- 1278 increased CSF P-tau levels in patients with clearly normal CSF  $A\beta 42/A\beta 40$  and P-tau/A $\beta 42$
- 1279 ratios do not usually warrant an amyloid PET scan. Overall, the workgroup concluded that
- amyloid PET is appropriate in this scenario (rating = 8). Although the workgroup did not discuss the utility of emyloid PET is noticente with equivaged as incomplusive placeme. AD biomedicers
- the utility of amyloid PET in patients with equivocal or inconclusive plasma AD biomarkers, similar principles would apply.
- 1283

#### 1284 *Tau*

In Scenario 10, it was concluded that tau PET might have additional value independent of the
outcome of already obtained CSF biomarker results. The workgroup reached a similar
conclusion for Scenario 11, expressing uncertainty but endorsing the possibility that tau PET
may be appropriate in this scenario (rating = 6). Although the workgroup did not discuss the
utility of tau PET in patients with equivocal or inconclusive plasma AD biomarkers, similar
principles would apply.

- 1291
- 1292

1300

1301

#### 1293 Clinical Scenario 12 1294

# To inform the prognosis of patients presenting with MCI due to clinically suspected AD pathology

#### 1298 <u>Consensus ratings</u> 1299 Amyloid - 8

Amyloid - 8 Moderately confident that use of the tracer is appropriate.

Tau- 7 Only somewhat confident that the use of the tracer is appropriate.

#### 1302 1303 *Amyloid*

1304 There is robust evidence of the prognostic value of amyloid PET for predicting future outcomes 1305 in patients with MCI whose clinical presentation is amnestic or otherwise consistent with AD. 1306 Although definitions of MCI subtypes are variable across studies, numerous reports have found 1307 that, allowing for adequate follow-up duration, a majority of MCI patients with a positive amyloid 1308 PET scan will progress to AD dementia, whereas the risk of progression to AD dementia is 1309 significantly lower in those who are amyloid negative(211-217). Overall, a positive amyloid PET scan at baseline is associated with an average hazard ratio of ~3-4 (range: 2.1-11.4) for 1310 1311 conversion to dementia in studies with 1–4.5 years of follow-up, after adjusting for confounding 1312 variables. The value of amyloid PET for informing prognosis in MCI is further supported by 1313 studies documenting the marked uncertainty and, in some cases, emotional turmoil that persons with MCI and their family care partners live with daily(218). Learning whether or not AD 1314 1315 pathology is present may lessen such uncertainty and enable clinicians and family care partners 1316 to guide patients with amyloid positivity to available resources for future planning. However, 1317 evidence is limited, and 1 study found that disclosure of amyloid PET results did not alter perceptions of ambiguity among patients and families affected by MCI(219). The workgroup 1318 acknowledged that the "value of knowing" one's brain amyloid status in the context of MCI is a 1319 1320 theoretical construct about which high-level empirical evidence is lacking. Furthermore, individual rates of clinical progression in patients with amyloid-positive MCI are highly 1321 1322 variable(220), and the prognostic value of amyloid PET may be improved if combined with MRI 1323 or <sup>18</sup>F-FDG-PET as imaging markers of neurodegeneration(<u>66</u>, <u>195</u>). Although a positive 1324 amyloid PET scan is useful in predicting whether individuals are likely to progress to dementia, it 1325 is not as useful at predicting time to conversion, and individuals with a negative amyloid PET

- scan may still develop a non-AD dementia. Despite these caveats, the workgroup concluded
   that amyloid PET is appropriate in this scenario (rating = 8).
- 1328

#### 1329 *Tau*

- 1330 Cohort studies have consistently found a positive tau PET scan to be associated with an
- increased likelihood of cognitive and functional decline in persons with MCI, suggesting the
- potential for such testing to inform prognosis in this clinical scenario. In a recent large multisite
- study, tau PET was a stronger predictor of longitudinal cognitive decline than was amyloid PET
- 1334 or MRI cortical thickness in individuals with amyloid-positive MCI(<u>177</u>). However, the use of tau
- 1335 PET in this scenario is currently being prospectively validated, and additional longitudinal 1336 studies are needed to further elucidate the prognostic value of tau PET in MCI. Overall, the
- 1337 workgroup was somewhat confident that tau PET is appropriate in this scenario (rating = 7).
- 1338

1345

1346

#### 1339 Clinical Scenario 13 1340

# To inform the prognosis of patients presenting with dementia due to clinically suspected AD pathology 1343

#### 1344 Consensus ratings

- Amyloid 4 Uncertain, but possible that the use of the tracer is rarely appropriate. Tau - 7 Only somewhat confident that the use of the tracer is appropriate.
- 1347 1348

#### 1349 Amyloid

The value of amyloid PET lies predominantly in confirming the presence of AD pathology as 1350 1351 opposed to providing prognostic value. As a group, persons who meet clinical criteria for dementia due to AD and have a positive amyloid PET scan decline more rapidly than do those 1352 1353 who meet clinical criteria but have a negative amyloid PET scan(171). This finding likely 1354 represents the fact that non-AD neuropathologies that mimic AD clinically (e.g., LATE) are 1355 associated with less rapid decline. However, in amyloid-positive individuals with dementia, 1356 amyloid deposition has often plateaued and the burden or distribution of amyloid correlates poorly with the baseline level of impairment or subsequent longitudinal decline(221). Overall, the 1357

- 1357 poorly with the baseline level of impairment of subsequent longitudinal decline(221). Overall, 1358 workgroup was uncertain but endorsed the possibility that amyloid PET may rarely be
- 1359 appropriate in this scenario (rating = 4).

#### 1360 1361 *Tau*

Neurofibrillary tangle burden associated with tau protein deposition correlates more closely with the severity of dementia than amyloid burden does. In a recent large multisite study, tau PET correlated more strongly with longitudinal decline in the Mini-Mental State Examination (MMSE) than amyloid PET did (although less strongly than MRI cortical thickness did) in individuals with amyloid-positive AD dementia(*177*). Overall, acknowledging the limited available data, the workgroup was somewhat confident that tau PET was appropriate in this scenario (rating = 7).

1369 Clinical Scenario 14 1370

#### 1371 To determine eligibility for treatment with an approved amyloid-targeting therapy

#### 1372 1373 <u>Consensus ratings</u>

- 1374 Amyloid 9 High confidence that use of the tracer is appropriate.
- 1375 Tau 8 Moderately confident that use of the tracer is appropriate.

#### 1377

#### 1378 Amyloid

1379 Amyloid PET is often used to determine eligibility for enrollment in clinical trials testing anti-1380 amyloid treatment for early AD(*222-224*), including the pivotal studies leading to FDA's

- 1381 accelerated approval of the anti-amyloid monoclonal antibody aducanumab
- 1382 (EMERGE/ENGAGE trials) and full approval of the anti-amyloid monoclonal antibody
- 1383 lecanemab (CLARITY-AD trial) for the treatment of MCI and mild dementia due to AD(<u>225</u>). A
- third antibody, donanemab, recently reported positive phase 3 results (TRAILBLAZER-ALZ2
- trial)(<u>41</u>). In EMERGE, CLARITY-AD, and TRAILBLAZER-ALZ2, treatment with an amyloid targeting monoclonal antibody was associated with slower cognitive and functional decline
- 1387 compared with that for placebo on primary and secondary clinical endpoints(*226*). The FDA
- 1388 prescribing information and published AURs for aducanumab and lecanemab require biomarker
- 1389 evidence of amyloid pathology (e.g., established via PET or CSF) prior to initiating therapy
- 1390 (lecanemab, aducanumab)(<u>227-231</u>). Apart from its high diagnostic accuracy, amyloid PET
- exhibits some additional advantages over other amyloid biomarkers, such as low variability of
- the measure across centers and methods(<u>232</u>), low individual variability in healthy subjects, and
- provision of information on the extent and location of amyloid pathology(<u>50</u>), which may be
- relevant for selecting candidates for amyloid-targeting therapies. Consequently, the workgroup
- concluded that amyloid PET is appropriate in patients being evaluated for treatment with
   approved anti-amyloid therapies (rating = 9). The final rating reflects an increase compared with
- 1397 the original rating in August 2021, which was still in the "appropriate" range (*original rating* = 8).
- 1398 1399 *Tau*

1400 The use of tau PET in anti-amyloid clinical trials is relatively limited to date. Elevated tau PET 1401 was required as an inclusion criterion in the TRAILBLAZER-ALZ2 trial of donanemab(*41*), and

tau PET scans were acquired in a nonrandomized subset of participants in EMERGE/ENGAGE
 and CLARITY-AD.

1404

1405 The data available to date suggest that baseline tau PET may predict the magnitude of clinical benefit associated with amyloid removal by monoclonal antibodies. In TRAILBLAZER-ALZ2, 1406 1407 clinical outcomes were evaluated separately in a baseline "low-medium" tau PET group and in 1408 the "combined population," the latter also including participants with baseline high tau PET. Overall, slowing of clinical decline was greater in the "low-medium" tau group than in the "whole 1409 1410 population." A post hoc analysis suggested limited clinical benefit compared with placebo in patients with "high" tau PET at baseline. An analysis of the tau PET substudy from CLARITY-AD 1411 1412 similarly showed that patients with the lowest baseline tau PET derived the greatest clinical 1413 benefit from treatment(233). Collectively, the data suggest that amyloid removal may be most 1414 clinically beneficial in impaired individuals who are at earlier stages of tau spread as staged by 1415 PET. From these data, the workgroup concluded that tau PET is appropriate in patients being 1416 evaluated for treatment with approved anti-amyloid therapies (rating = 8). This final rating 1417 represents an increase from the initial rating in August 2021, which was in the "uncertain" range (original rating = 5). Note that the use of tau PET for treatment eligibility is not included in FDA 1418 1419 prescribing information or published AURs for aducanumab or lecanemab(227-231).

- 1420
- 1421 Clinical Scenario 15
- 1422

#### 1423 To monitor response among patients who have received an approved amyloid-targeting

1424 therapy

#### 1426 Consensus ratings

1427 1428

Amyloid - 8 Moderately confident that use of the tracer is appropriate. Tau – 5 Uncertain, evidence is inconclusive or lacking.

1429 1430 Amvloid

1431 Serial amyloid PET scans can be used to measure amyloid plague removal and thus confirm 1432 target engagement in clinical trials of amyloid-lowering therapies that target fibrillar forms of 1433 amyloid(41, 222, 224, 225, 234-236). Conversely, drugs that target soluble forms of amyloid 1434 may show slowed accumulation (rather than reductions) of amyloid plagues(237). The FDA 1435 determined that lowering of the amyloid PET signal was a suitable surrogate biomarker "reasonably likely to predict a clinical benefit" as a basis for accelerated approval of 1436 aducanumab and lecanemab (prior to full approval of the latter based on demonstration of 1437 1438 clinical efficacy in a phase 3 trial)(118, 238). Further work has suggested that, in the early 1439 symptomatic stage of AD, clinical response to amyloid-targeting monoclonal antibodies may be 1440 related to the magnitude of plague reduction, the rapidity of plague removal, or the ability to 1441 suppress amyloid levels below a threshold. All of these outcomes are measured by amyloid 1442 PET changes in response to therapy(12, 239-241).

1443

1444 Although in EMERGE/ENGAGE and CLARITY-AD, active antibody treatment was maintained throughout the trials, in TRAILBLAZER-ALZ2 (and its phase 2 predecessor TRAILBLAZER-1445 1446 ALZ), the *duration* of antibody treatment was titrated to amyloid PET response, with patients switched from active treatment to placebo after their amyloid PET scans were in the negative 1447 1448 range(41, 224). In both these phase 2 and 3 trials of donanemab, this approach to restricting 1449 treatment duration was sufficient to achieve a clinical benefit. From these emerging data, the 1450 workgroup felt that measurement of amyloid reduction (e.g., using standardized quantitative 1451 methodology such as the CL scale) may be important in guiding management and thus 1452 concluded that amyloid PET is appropriate for monitoring response in patients receiving 1453 approved amyloid-targeting therapy (rating = 8). This final rating represents an increase from the initial rating in August 2021, which was in the "uncertain" range (*initial rating* = 6). Note that 1454 1455 the use of amyloid PET for treatment monitoring is not included in FDA prescribing information or published AURs for aducanumab or lecanemab(227-231). 1456

- 1457 1458

#### 1459 Tau

1460 Consistently across trials, amyloid removal by amyloid-targeting monoclonal antibodies led to reductions in fluid (CSF and plasma) measure of P-tau. Data regarding the effects of amyloid 1461 removal on tau PET data are more limited and less consistent. In relatively small and 1462 1463 nonrandomized subsets of patients enrolled in EMERGE/ENGAGE and CLARITY-AD, amyloid-1464 lowering treatment was associated with reductions or slowed progression of regional tau PET 1465 signal (118). In the phase 2 TRAILBLAZER study, amyloid lowering slowed increases in regional (but not global cortical) tau PET, but these results were not replicated in the phase 3 1466 TRAILBLAZER-ALZ2 trial. 1467

1468

Given that tau PET changes are thought to occur downstream of amyloid and have more 1469 1470 established correlations with clinical outcomes, tau imaging has great potential for gauging 1471 disease modification in patients treated with anti-amyloid therapies. However, from the limited 1472 empirical evidence, the workgroup was uncertain about the appropriateness of tau PET in this 1473 scenario (rating = 5). This rating reflects the initial rating in August 2021. Given limited additional

1474 data, the workgroup elected *not* to vote again on this scenario in August 2023. Note that use of
1475	tau PET for treatment monitoring is not included in FDA prescribing information or published
1476	AURs for aducanumab or lecanemab(227-231).
1477	\r
1478	
1/170	Clinical Scenario 16
1/180	
1400	Nonmedical usage (e.g., legal, incurance coverage, or employment coreoning)
1401	Nonneulcal usage (e.g., legal, insurance coverage, or employment screening)
1402	Concensus retinge
1485	Consensus rainings
1404	Anyloid - T highly confident that the use of the tracer is receiveners appropriate.
1485	rau - T Hignly conident that the use of the tracer is rarely appropriate.
1486	
1487	
1488	Amyloid and Tau
1489	There is no evidence to suggest that amyloid or tau imaging is more informative than traditional
1490	neuropsychological or performance-based assessments to establish the presence, or evaluate
1491	the extent, of cognitive or functional impairment. Examples of nonmedical usage include
1492	assessments of legal competency, employability, insurability, and fitness to perform activities
1493	such as driving, piloting an aircraft, governing, or making financial decisions. The high
1494	prevalence of AD pathology in CU older adults further underscores the inappropriateness of
1495	amyloid and tau PET for nonmedical purposes. The committee therefore ranked both amyloid
1496	and tau PET as "rarely appropriate" in this scenario (rating = 1 for both).
1497	
1498	Clinical Scenario 17
1499	
1500	In lieu of genotyping for suspected autosomal dominant mutation carriers
1501	
1502	Consensus ratings
1503	Amyloid - 1 Highly confident that the use of the tracer is rarely appropriate.
1504	Tau - 1 Highly confident that the use of the tracer is rarely appropriate.
1505	
1506	
1507	
1508	Amyloid and Tau
1509	Dominantly inherited AD (DIAD) is caused by autosomal dominant mutations in the amyloid
1510	precursor protein (APP) presenilin-1 (PSEN1) or presenilin-2 (PSEN2) genes. Pedigrees are
1511	typically characterized by early-onset of symptoms across multiple generations. The standard of
1512	care for evaluating potential mutation carriers includes a detailed clinical evaluation, including a
1512	family history and referral to a denetic counselor for discussion of diagnostic or predictive
1517	denotyping. Amyloid PET in DIAD becomes positive approximately 2 decades prior to the
1514	setimated year of symptom apact(242,244), with sertial hinding assembly in some
1212	estimated year of symptom onset( <u>242-244</u> ), with contrait binding accompanied in some
1516	mutations by early and high binding in the striatum. Rarely, mutations lead to atypical
151/	conformations of amyloid (e.g., cotton wool plaques) that do not bind amyloid PET ligands. In
1518	contrast, tau PET in DIAD turns positive around the same time that cognitive changes are first
1519	detected.
1520	
1521	In the future, amyloid and tau PET may be used to evaluate disease stage (i.e., onset and
1522	degree of amyloidosis and tau deposition) and will potentially affect decisions about initiating

- specific therapies. Notably, amyloid-targeting therapies have thus far not been shown to slow cognitive decline in DIAD(<u>223</u>). Moreover, amyloid and tau PET should not be considered 1523
- 1524

alternatives to genotyping, since the absence of a PET signal does not exclude a mutation and,
conversely, positive PET scans cannot confirm the presence of DIAD. The workgroup therefore
concluded that amyloid and tau PET are rarely appropriate in this scenario (rating = 1 for both).

# 1529 9. Value of Tau PET Imaging in Combination With Amyloid 1530 PET Imaging

1531

The current AUC evaluated clinical scenarios for amyloid and tau PET separately for conceptual reasons and clarity and because there was often insufficient evidence to evaluate the combined use of the 2 PET modalities. Although these AUC make no recommendations about the joint use of the 2 PET modalities, considerations of how the 2 complement each other is discussed here. We expect that future investigations will provide an empirical basis for optimizing their joint use.

The markedly different temporal and spatial profiles of amyloid and tau accumulation translates 1538 1539 into different relationships between abnormal amyloid and tau PET images for the diagnosis of 1540 AD. The specific circumstances will determine which of the 2 PET tracers would be most 1541 helpful. Amyloid PET is a more sensitive biomarker for identifying persons who are early in the 1542 Alzheimer pathway. Amyloid PET has greater sensitivity in patients with MCI or earlier stages of 1543 impairment because tau PET abnormalities in CU persons or those with SCD or MCI are 1544 typically absent or very modest. In symptomatic persons, abnormal amyloid PET will not 1545 necessarily prove that AD is a relevant etiology if tau PET abnormalities are absent. As the 1546 topography of tau PET signal is closely correlated with spatial patterns of AD-related 1547 neurodegeneration and domain-specific cognitive performance, a topographically extensive tau 1548 PET pattern in a symptomatic person is highly likely to indicate that AD is a relevant etiology. If tau PET abnormalities are absent or spatially limited, the clinician could conclude that other 1549 1550 etiologies are likely to be more relevant, even if elevated amyloid by PET is present.

1551 There may be scenarios in which both tracers are required for decision making. In a head-to-1552 head study comparing the clinical utility of amyloid and tau PET, patients were randomized to 1553 receive amyloid or tau PET first (and the other modality second) as part of a diagnostic workup(245). Regardless of modality, the first PET scan led to a change in diagnosis in 28% of 1554 patients and the second scan changed diagnosis in an additional 18%-19% of patients. The only 1555 1556 modality-specific difference found was that a negative amyloid PET scan had a larger impact on 1557 diagnosis than a negative tau PET scan did. In another recent study, the addition of tau PET led 1558 to a change in diagnosis in 7.5% of memory clinic patients with known amyloid status based on 1559 CSF(246). In CU individuals, the combination of positive amyloid and tau PET results is 1560 associated with a greatly increased likelihood of conversion to MCI or dementia compared with 1561 individuals who have negative results on both modalities, or a positive result on just one(104, 1562 132). As discussed earlier, in the setting of therapeutic interventions targeted at reducing 1563 amyloid, it might be necessary to judge the burden of both amyloid and tau initially, as well as to follow both over the course of treatment. 1564

Evolving research and clinical criteria for AD recognize the complementary role of amyloid and tau PET in the diagnosis and staging of AD in living people. In the 2018 NIA-AA Research Framework, PET (and other biomarkers) was used to classify each individual as positive or negative for brain amyloidosis ("A," e.g. with amyloid PET), tauopathy ("T," e.g., with tau PET), and neurodegeneration ("N," e.g. with FDG-PET) by using the AT(N) framework (<u>14</u>). In the updated 2024 AA Criteria(<u>247</u>), amyloid PET is considered a "Core 1" biomarker, which is 1571 sufficient to establish the diagnosis of AD. Tau PET is considered a "Core 2" biomarker, used to stage disease in patients in whom the diagnosis has already been established with a positive 1572 Core 1 biomarker. Using a combination of amyloid and tau PET imaging, Biomarker Stage A is 1573 defined by positive amyloid and negative tau PET results; Stage B is defined by positive amyloid 1574 PET results and tau PET uptake restricted to the medial temporal lobe: Stage C is defined by 1575 1576 positive amyloid PET results and moderate neocortical uptake on tau PET; and Stage D is 1577 defined by positive amyloid PET results and high neocortical tau PET uptake. Implementing this 1578 staging system in clinical practice will require further refinement and standardization of tau PET clinical and quantitative interpretation methods, compared with the current FDA-approved 1579 1580 interpretation method, which requires neocortical tau PET signal and is based solely on visual 1581 reads(38).

### 1582 **10.** Limitations of Evidence Review

1583 The outside systematic review of the literature undertaken for this paper was presented more 1584 than 2 years prior to publication of these AUC. Since that time, several additional papers 1585 evaluating the accuracy and clinical importance of amyloid and tau PET have been published. 1586 The authors of these AUC have included these new papers in the bibliography when they were 1587 cited in the text; however, these papers were not subject to the same review process and 1588 grading as papers included in the initial systematic literature review.

As noted earlier, there are limited data regarding the clinical utility of tau PET in comparison to amyloid PET, in particular pertaining to the impact of each modality on clinical decision making. This difference led to generally higher confidence in the utility of amyloid PET versus tau PET in most clinical scenarios.

1593 Cognitive health disparities, defined here as preventable differences in the prevalence and risk 1594 of dementia due to AD and related disorders, are increasingly recognized to disproportionately 1595 negatively affect individuals from historically underrepresented racial and ethnic groups. These 1596 groups have been markedly underrepresented in AD-related research, including in 1597 neuroimaging studies. Limited studies have generally found lower rates of amyloid PET 1598 positivity in African-Americans/Blacks, Hispanics/Latinx, and Asian-American Pacific Islanders 1599 than in non-Hispanic Whites, ranging from CU research volunteers to patients with MCI and 1600 dementia(248-250), although the mechanisms that drive these observed differences are not well 1601 understood. Further studies of amyloid and tau PET in underrepresented populations are 1602 underway, as are efforts to enhance diversity across longitudinal AD and related disorders research cohorts(251). 1603

1604 Many of the studies comparing amyloid and tau PET to a neuropathological standard-of-truth 1605 were conducted in end-of-life patients. Studies validating PET-to-autopsy correlations in more 1606 clinically relevant memory clinic populations (i.e., generally younger and less impaired individuals in which imaging would be considered) are needed. There is also increasing 1607 1608 recognition that cognitive impairment in older individuals is often related to multiple 1609 neuropathologies beyond amyloid and tau (e.g., vascular contributions, Lewy bodies, LATE). More studies are needed to evaluate how co-pathologies affect the clinical interpretation of 1610 1611 amyloid and tau PET results.

1612 Finally, published evidence is often based on investigational studies conducted in research

- settings. When applying such research findings to general clinical patient populations, careful
- 1614 considerations need to be taken, given different pretest probabilities of diseases in various
- 1615 clinical settings and possible inconsistencies in imaging quality, image interpretation accuracy,

- 1616 and other technical factors. It is important to reserve clinical judgments for individual patient 1617 considerations and specific clinical settings.
- 1618

### 1619 **11. Further Research Questions**

1620 Although much progress has been made in the clinical implementation of amyloid and tau PET, 1621 there are still many knowledge gaps that should serve as groundwork for future work. With the recent accelerated approval of amyloid-targeting monoclonal antibodies, the field has entered a 1622 1623 new era of molecular-specific therapies, and amyloid and tau PET are likely to play an 1624 increasingly important role in individuals being evaluated for these novel treatments. Beyond 1625 their diagnostic value, future work will undoubtedly focus on whether amyloid and tau PET can 1626 identify optimal responders to various treatments and whether the duration of treatment can be 1627 calibrated on the basis of longitudinal changes in PET. Especially in the context of longitudinal 1628 imaging, it will be important to determine whether quantitative approaches to image 1629 interpretation enhance the current approach of visual reads. Some data do suggest a 1630 combination of visual and quantitative interpretation can improve the accuracy of reads. 1631 especially for less experienced nuclear medicine physicians and radiologists(33). PET quantification will likely be essential for gauging response to amyloid-lowering therapies (and 1632 1633 possibly in future tau-lowering therapies(42, 252)) in clinical practice and for gauging disease 1634 progression. Moving forward, it will be important to collect PET data in patients treated with 1635 novel therapies via longitudinal patient registries such as the Alzheimer's Registry for Treatment and Diagnostics (ALZ-NET)(253). Extraction of CL values from clinically acquired amyloid PET 1636 1637 scans has been shown to be feasible (38), and current efforts are underway to standardize tau 1638 PET measurements across radiotracers and processing approaches (e.g., the CenTauR 1639 scale(39)).

To date, only 1 tau PET tracer (<sup>18</sup>F-FTP) has been approved by the FDA for clinical use, based 1640 1641 on a visual read method that highlights neocortical uptake and is insensitive to early-stage (but potentially clinically meaningful) tau pathology(38). PET-to-autopsy studies are currently being 1642 conducted with additional tau PET tracers (e.g., <sup>18</sup>F-MK6240 and <sup>18</sup>F-PI2620) and using 1643 alternative visual interpretation methods, including methods that identify binding that is restricted 1644 to the medial temporal lobe(254-256). These studies will determine whether alternative tau 1645 1646 tracers or visual interpretation approaches are more sensitive to Braak Stages III/IV, which 1647 would affect future clinical recommendations. As noted earlier, augmenting visual reads with 1648 semiguantification of the PET signal in clinical practice could also broaden the utility of both amyloid and tau PET in guiding clinical care. 1649

1650

1651 Few studies have evaluated the clinical impact of tau PET on patient diagnosis and 1652 management as a single modality or in combination with amyloid PET(245, 246). Future clinical 1653 practice guidelines will determine the specific role of PET within the larger landscape of CSF 1654 and emerging plasma amyloid and tau biomarkers. Although much of the initial work on clinical 1655 utility has focused on diagnosis and patient management, data are beginning to emerge 1656 regarding the impact of amyloid PET on longer term health outcomes, including inpatient and outpatient resource utilization, institutionalization, and even mortality(257, 258). Finally, 1657 1658 acknowledging the transformative impact of amyloid and tau PET on AD research and drug 1659 development, there remains a huge unmet need to develop molecular imaging markers for other protein aggregates, such as non-AD tauopathies,  $\alpha$ -synuclein, and TDP-43, to truly capture the 1660

- 1661 complexity of brain pathologies that contribute to neurodegeneration and dementia (see
- 1662 Appendix E).
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### 1664 Contributors

1665

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### 1671 Appendix A: Abbreviations

1672

AA	Alzheimer's Association
Αβ	Amyloid beta
AD	Alzheimer's disease
ADCS-PACC	Alzheimer Disease Cooperative Study–Preclinical Alzheimer's Cognitive
	Composite
ADNC	Alzheimer's disease neuropathological changes
ADNI-1	Alzheimer's Disease Neuroimaging Initiative initial phase
APOE4	Apolipoprotein E ε4 allele
APP	Amyloid precursor protein gene
AUC	Appropriate use criteria
AUR	Appropriate use recommendation
CBD	Corticobasal degeneration
CBS	Corticobasal syndrome
CDR	Clinical Dementia Rating
CDR-G	Clinical Dementia Rating-Global
CERAD	Consortium to Establish a Registry for Alzheimer's Disease
CL	Centiloids
CMS	Centers for Medicare and Medicaid Services
CN	Cognitively normal
COI	Conflict of interest
CSF	Cerebrospinal fluid
CU	Cognitively unimpaired
DAT	Dementia of the Alzheimer type
DIAD	Dominantly inherited Alzheimer's disease
DLB	Dementia with Lewy bodies
EANM	European Association of Nuclear Medicine
FDA	Food and Drug Administration
FDG	Fluorodeoxyglucose
FTD	Frontotemporal dementia
FTLD	Frontotemporal lobar degeneration

FTP	Flortaucipir
GRADE	Grading of Recommendations, Assessment, Development, and Evaluations
HC	Healthy controls
IDEAS	Imaging Dementia—Evidence for Amyloid Scanning
IHC	Immunohistochemical
KQ	Key question
LATE	Limbic-predominant age-related TDP-43 encephalopathy
Ivppa	Logopenic-variant of primary progressive aphasia
MCI	Mild cognitive impairment
MCI-LB	MCI with Lewy antibodies
MMSE	Mini-Mental State Examination
MRI	Magnetic resonance imaging
MTBR-tau243	Microtubule-binding region of tau at residue 243
N/A	Not available
NFTs	Neurofibrillary tangles
NIA-AA	National Institute on Aging and Alzheimer's Association
NINCDS-	National Institute of Neurological and Communicative Disorders and Stroke
ADRDA	and the Alzheimer's Disease and Related Disorders Association
NR	Not reported
OHSU	Oregon Health & Science University
PACC	Preclinical Alzheimer's Cognitive Composite
PCA	Posterior cortical atrophy
PDD	Parkinson's disease with dementia
PET	Positron emission tomography
PiB	Pittsburgh compound-B
PICOTS	Population, interventions, comparisons, outcomes, timing, and settings
PSEN1	Presenilin-1 gene
PSEN2	Presenilin-2 gene
PSP	Progressive supranuclear palsy
P-tau	Phosphorylated tau
RCT	Randomized controlled trial
REM	Rapid eye movement
SCC	Subjective cognitive complaints
SCD	Subjective cognitive decline
SCI	Subjective cognitive impairment
SMD	Subjective memory decline
SNAP	Suspected non-Alzheimer's pathophysiology
SNMMI	Society of Nuclear Medicine and Molecular Imaging
SPECT	Single photon emission computed tomography
SUVR	Standardized uptake value ratio
TDP-43	TAR DNA-binding protein 43

### 1674 Appendix B: Workgroup Members and Acknowledgements of Conflicts of Interest

1675 The AA, SNMMI, and Avalere rigorously attempted to avoid any actual, perceived, or potential 1676 conflicts of interest (COIs) that might have arisen because of an outside relationship or personal 1677 interest of workgroup members. Both organizations reviewed their own industry relationship 1678 policies to ensure that the ensuing process adhered to both standards.

1679 The workgroup members were required to provide disclosure statements of all relationships that 1680 might be perceived as a real or potential COI. These statements were reviewed and discussed 1681 by the workgroup co-chairs and updated and reviewed by an objective third party at the 1682 beginning of every task force meeting and/or teleconference. Disclosures for task force 1683 members can be found in Table B1.

- 1684 To adjudicate the COIs, the leadership from the AA, SNMMI, and Avalere first determined the 1685 threshold for a real COI. Following consultation with various experts and review of other policies 1686 used, the team defined COIs as the following: An individual that had relationships with industry, 1687 including consulting, speaking, research, and other non-research activities, that exceed \$5,000 1688 in funding over the previous or upcoming 12-month period.
- 1000 In funding over the previous of upcoming 12-month
- 1689 The authors declare the following COIs.

### 1690

### 1691 Table B1: Workgroup Members and Conflicts of Interest

Workgroup Member	Affiliation	Conflicts of Interest		
Javier Arbizu, MD, PhD	Professor and Chair, Department of Nuclear Medicine, University of Navarra Clinic	Clinical research for Araclon Biotech. Institution received research support from Life Molecular Imaging. Served as a consultant for Eli Lilly.		
Tammie L. S. Benzinger, MD, PhD	Professor of Radiology and Neurological Surgery, Mallinckrodt Institute of Radiology	Consultant for Lilly, Biogen, Eisai, and J&J. Investigator initiated research funded by Siemens.		
Kevin Donohoe, MD	Assistant Professor of Radiology, Beth Israel Deaconess Medical Center	The author declares that there is no conflict of interest.		
Oskar Hansson, MD, PhD	Professor of Neurology, Senior Consultant of Neurology, Lund University	Institution received research support from ADx, AVID Radiopharmaceuticals, Biogen, Eli Lilly, Eisai, Fujirebio, GE Healthcare, Pfizer, and Roche. In the past 2 years, has received consultancy/speaker fees from AC Immune, Amylyx, Alzpath, BioArctic, Biogen, Bristol Meyer Squibb, Cerveau, Eisai, Eli Lilly, Fujirebio, Merck, Novartis, Novo Nordisk, Roche, Sanofi, and Siemens.		

Peter Herscovitch, MD	Director, PET Department, NIH Clinical Center	Associate Editor for Sage Publishing.
Keith Johnson, MD	Director, Molecular Neuroimaging Massachusetts General Hospital, Professor of Neurology and Radiology, Harvard Medical School	Clinical trial for Cerveau Technologies and consultant for Novartis, Genentech, Jansson, Takeda, Merck, and Prothena.
David Knopman, MD	Professor of Neuroscience, Department of Neuroscience, Mayo Clinic	The author declares that there is no conflict of interest.
Phillip H. Kuo, MD, PhD	Professor, Medical Imaging, Medicine, and Biomedical Engineering, University of Arizona	Consultant and/or speaker for Blue Earth Diagnostics, Chimerix, Eli Lilly, Fusion Pharma, General Electric Healthcare, Invicro, Novartis, Radionetics, and Telix Pharmaceuticals. Recipient of research grants from Blue Earth Diagnostics and General Electric Healthcare.
Jennifer Hagerty Lingler, PhD	Professor, Vice Chair for Research Health & Community Systems, University of Pittsburgh	Consultant to Biogen and Genentech and has received research support from Avid Radiopharmaceuticals, a wholly owned subsidiary of Eli Lilly.
Satoshi Minoshima, MD, PhD	Professor and Chair, Department of Radiology and Imaging Sciences, University of Utah	Consultant and received educational donation from Hamamatsu Photonics, research grant from Hitachi, and education donation from Nihon Medi- Physics Co., Ltd.
Melissa E. Murray, PhD	Professor of Neuroscience, Department of Neuroscience, Mayo Clinic	Consulted for AVID Radiopharmaceutical and receives research support from Eli Lilly.
Julie C. Price, PhD	Professor of Radiology, Massachusetts General Hospital	The author declares that there is no conflict of interest.
Gil Rabinovici,	Professor, Departments of Neurology, Radiology & Biomedical Imaging,	Institution received research support from Avid Radiopharmaceuticals, GE

MD	University of California, San Francisco	Healthcare, Life Molecular Imaging, and Genentech. Served as a consultant for Eli Lilly, Johnson & Johnson, Merck.
Stephen Salloway, MD, MS	Professor of Neurology and Psychiatry at the Warren Alpert School of Medicine at Brown University and Founding Director of the Butler Hospital Memory and Aging Program	Institution received research support for clinical trials from Biogen, Janssen, Eisai, Lilly, Genentech, and Roche. Served as a consultant for Merck, Novo Nordisk, and Acumen.
Christopher J. Weber, PhD	Alzheimer's Association Director, Global Science Initiatives	Full-time employee of the Alzheimer's Association. No financial conflicts to disclose.
Maria C. Carrillo, PhD	Alzheimer's Association Chief Science Officer	Full-time employee of the Alzheimer's Association and has a daughter in the neuroscience program at USC. No financial conflicts to disclose.

1692 Appendix C: External Reviewers

### 

1694 The following individuals reviewed and provided feedback on this document prior to submission.

### **Table C1: External Reviewers**

External Reviewer	Affiliation
Elizabeth C. Mormino, PhD	Wu Tsai Neurosciences Institute, Stanford University, Stanford, CA, USA; Department of Neurology and Neurological Sciences, Stanford University, Stanford, CA, USA
Val Lowe, MD	Departments of Radiology, Mayo Clinic, Rochester, MN, USA
Philip Scheltens, MD, PhD	Alzheimer Center Amsterdam, Neurology, Vrije Universiteit Amsterdam, Amsterdam UMC location VUmc, Boelelaan 1118, 1081, HZ, Amsterdam, The Netherlands
Chris Rowe, MD	Department of Molecular Imaging Research, Austin Health, Melbourne, Australia
Henryk Barthel, MD, PhD	Department of Nuclear Medicine, University of Leipzig, Leipzig, Germany
Susan Landau, MD	Helen Wills Neuroscience Institute, University of California, Berkeley, Berkeley, CA, USA

## Appendix D: PICOTS Framework and Key Questions (KQs) for Systematic Evidence Review

1699

### 1700 **Population**

- 1701 KQ 1: Persons who are cognitively unimpaired
- 1702 KQ 2: Persons with subjective cognitive decline
- 1703 KQ 3: Persons with mild cognitive impairment
- 1704 KQ 4: Persons with atypical dementia presentation
- 1705 KQ 5: Persons with AD dementia (mild, moderate, severe)
- 1706 KQ 6: Persons with related dementia (i.e., caused by another neurodegenerative condition)
- 1707 KQ 7: Persons with nondefinitive results on prior testing/imaging
- 1708 KQ 8: Persons with AD phenotype

### 1709 Interventions

- 1710 All KQs: Beta amyloid PET with florbetapir, florbetaben, flutemetamol
- 1711 All KQs: Tau PET with flortaucipir, soon-to-be approved agents (e.g., aducanumab)

### 1712 Comparisons

- 1713 All KQs: Reference standard for Alzheimer's (e.g., pathological verification or clinical criteria)
- 1714 All KQs: No amyloid PET
- 1715 All KQs: No tau PET

### 1716 Outcomes

- 1717 KQs 1,3: Diagnostic accuracy (sensitivity, specificity, and related measures); discrimination
- 1718 (area under the receiver operating characteristic curve)
- 1719 KQs 2,4: Change in diagnosis, change in clinical management
- 1720 KQ 5: Diagnostic accuracy, discrimination, risk estimates (e.g., odds ratio, relative risk, hazard
- 1721 ratio)
- 1722 Study Considerations
- 1723 Excluded non-English studies
- 1724 Excluded studies only published as abstracts
- 1725

1726 Table D1: Key Research Questions

Key Questions	Clinical Considerations and Sub- questions
<sup>99</sup> <b>Question 1:</b> 1. What is the accuracy of amyloid PET for detecting the presence of pathological changes that contribute to identifying persons with Alzheimer's disease?	a. What is the accuracy of amyloid PET in patients with Down syndrome or a relevant clinical syndrome (amnestic cognitive impairment, primary progressive aphasia, posterior cortical atrophy, dysexecutive cognitive impairment, or corticobasal syndrome)?
<b>Question 2:</b> What are the effects of amyloid PET versus no PET on clinical decision making?	
<b>Question 3:</b> What is the diagnostic accuracy of tau PET for detecting the presence of pathological changes that contribute to identifying persons with Alzheimer's disease?	a. What is the accuracy of tau PET in patients with Down syndrome or a relevant clinical syndrome (amnestic cognitive impairment, primary progressive aphasia, posterior cortical atrophy, dysexecutive cognitive impairment, or corticobasal syndrome)?
<b>Question 4:</b> What are the effects of tau PET versus no PET on clinical decision making?	
<b>Question 5:</b> What is the prognostic value of amyloid/tau PET?	

1727

### 1728 Appendix E: Additional Studies Reviewed

### 1729

Author Year	Study Design/N/ Country	Inclusion Criteria	Population	Clinical Outcomes	PET Technique/No
Altomare et al. 2021 <sup>245</sup>	RCT N=136 Switzerland	Patients with cognitive complaints recruited consecutively and evaluated at the Geneva Memory Clinic; underwent diagnostic workup,	Patients with cognitive complaints recruited consecutively and evaluated at the Geneva	Amyloid PET and tau PET, when presented as the first exam, resulted in a change of etiological diagnosis in 28%	Amyloid Tau PET

		including clinical and neuropsychological assessments, MRI, and amyloid PET and tau PET within an ongoing prospective research study	Memory Clinic		
Amariglio et al. 2018 <sup>148</sup>	Prospective cohort N=279 US	Clinically normal	Mean age: 73.4 (6.1) Female sex: 59% MMSE: 29 (1.1)	Higher baseline SCC predicted more rapid cognitive decline on neuropsychological measures among those with elevated amyloid	11C-PIB
Buckley et al. 2016	Prospective cohort N=288 Australia	CN older adults who had undergone PET Aβ neuroimaging	CN Aβ - Mean age: 69, female sex: 54%; CN Aβ+ Mean age: 72, female sex: 50%	In CN amyloid+, subjects with high SMD did not exhibit significantly greater episodic memory decline than those with low SMD did	N/A
Buckley et al. 2019	Cross-cohort N=890 US	Clinically normal	Varies by group	SCD increased odds of amyloid+ by 1.58 relative to non-SCD	N/A
Burnham et al. 2016	Longitudinal N=573 Australia	Cognitively healthy	Mean age: 73.1 (6.2), Female sex: 58%	50 (9%) healthy individuals were classified as A+N+, 87 (15%) as A+N-, 310 (54%) as A-N-, and 126 (22%) as SNAP. <i>APOE4</i> was more frequent in participants in the A+N+ (27; 54%) and A+N- (42; 48%) groups than in the A-N- (66; 21%) and SNAP groups (23; 18%).	AD pathology wa determined by measuring amylo deposition by PE and neurodegeneratio (N) was establish by measuring hippocampal volu by using MRI.
Soleimani-Meigooni et al. 2020 <sup>162</sup>	Prospective cohort N=20 Unknown	N/A	Mean age: 61 Female sex: 8	PET-to-autopsy comparisons confirm that 18F-FTP PET is a reliable biomarker of advanced Braak tau pathology in AD.	18F-FTP
Donohue et al. 2017 <sup>126</sup>	Prospective cohort N=445 US and Canada	Baseline MMSE scores of 24 to 30 and Clinical Dementia Rating (CDR) Global and Memory Box scores of 0	Mean age: 74.0 (5.9) Female sex: 52%	Compared with the group with normal amyloid, those with elevated amyloid had worse mean scores at 4 years on the PACC (mean difference, 1.51 points), MMSE (mean difference, 0.56 points), and CDR–Sum of Boxes (mean difference, 0.23 points.	11C-PiB and florbetapir
Dubois et al. 2018 <sup>142</sup>	Longitudinal observational N=318 France	Age 70-85 years with subjective memory complaints but unimpaired cognition and memory	Mean age: 76 (3.5) Mean MMSE: 28.67 (0.96)	88 (28%) of 318 participants showed amyloid $\beta$ deposition and the remainder did not.	18F-florbetapir
Ebenau et al. 2020	Longitudinal N=693 Netherlands	Labeled as SCD	Mean age: 60 (9)	Fifty-six participants had normal AD biomarkers (A–T–N–), 27% (n =	N/A

			Female sex: 41% MMSE: 28 (2)	186) had non-AD pathologic change (A–T– N+, A–T+N–, A–T+N+), and 18% (n=122) fell within the Alzheimer continuum (A+T–N–, A+T–N+, A+T+N–, A+T+N+)	
Ghirelli et al. 2020	Longitudinal N=24 US	Participated in the Neurodegenerative Research Group, had 18F-FTP and died with FTLD	N/A	Nine participants (37.5%) had amyloid plaques	18F-FTP Braak staging, amyloid plaque, N counts, and semiquantitative t lesion scores
Hanseeuw et al. 2019	Prospective cohort/Longitudinal N=1070 North America	N/A	Age range: 55-94	Amyloid predicted longitudinal changes in memory awareness, such that awareness decreased faster in participants with increased amyloid burden.	Amyloid depositio was measured at baseline by using [18F]florbetapir P imaging.
Jansen et al. 2015 <sup>167</sup>	Meta-analysis 55 studies N/A	Studies were included if they provided individual participant data for participants without dementia and used an a priori defined cutoff for amyloid positivity	N/A	The prevalence of amyloid pathology increased from age 50 to 90 years from 10% to 44% among participants with normal cognition; from 12% to 43% among those with SCI, and from 27% to 71% among those with MCI.	N/A
Jack Jr et al. 2019	Longitudinal cohort N=480 US	Nondemented; had a clinical evaluation and amyloid PET (A), tau PET (T), and MRI cortical thickness (N) measures between April 16, 2015, and November 1, 2017, as well as at least 1 clinical evaluation follow-up by November 12, 2018	Age range: 30–89	Among older persons without baseline dementia followed for a median of 4.8 years, a prediction model that included amyloid PET, tau PET, and MRI cortical thickness resulted in a small but statistically significant improvement in predicting memory decline over a model with more readily available clinical and genetic variables.	Amyloid PET ima was performed wi PiB11 and tau PE with [18F]FTP.
Lesman-Segev et al. 2020	Observational N=101 US	Enrolled in University of California, San Francisco Memory and Aging Center or UC Davis Alzheimer's Disease Center	Mean age: 67.2 Female sex: 41 MMSE: 21.9	At autopsy, 32 patients showed primary AD, 56 showed non-AD neuropathology (primarily FTLD), and 13 showed mixed AD/FTLD pathology.	Antemortem 11C- and 18F-(FDG) PiB PET was rate positive or negativ for cortical retenti whereas FDG sca were read as sho an AD or non-AD pattern.
Leuzy et al. 2020	Diagnostic N=613 Sweden	Participated in the Swedish BioFINDER- 2 study	N/A	RO948 F 18 outperformed MRI and CSF measures.	RO948 F 18

Lopez et al. 2018	Longitudinal N=183 US	Age 80 years and older, without dementia, and participated in the Ginkgo biloba memory study from 2000 to 2008	N/A	Of the 183 participants, 30% were CN, 37% had MCI, and 33% were diagnosed with dementia at their last clinic visit.	11C-PiB
Ossenkoppele et al. 2015	Meta-analysis N=N/A Location N/A	The MEDLINE and Web of Science databases were searched from January 2004 to April 2015 for amyloid PET studies	Data were provided for 1359 participants with clinically diagnosed AD and 538 participants with non-AD dementia. The reference groups were 1849 healthy control participants (with amyloid PET) and an independent sample of 1369 AD participants (with autopsy data).	The likelihood of amyloid positivity was associated with age and <i>APOE4</i> status.	N/A
Ossenkoppele et al. 2018	Cross-sectional N=719 South Korea, Sweden, and the US	N/A	Mean age: 68.8 (9.2) Male sex: 48.4%	The use of [18F]FTP PET had an estimated sensitivity of 89.9% and specificity of 90.6% for AD vs. other neurodegenerative diseases.	18F FTP
Petersen et al. 2016 <sup>137</sup>	Longitudinal N=564 US	Cognitively normal; invited to undergo imaging	N/A	At baseline, 179 (31.7%) individuals with elevated amyloid levels had poorer cognition in all domains measured, reduced hippocampal volume, and greater FDG-PET hypometabolism.	N/A
Petersen et al. 2019 <sup>57</sup>	Longitudinal N=763 US	Enrolled in Mayo Clinic Study of Aging; residents of Olmsted County, MI; and participated in brain imaging	N/A	26% were A-N-, 15% were A+N-, 30% were A-N+, and 28% were A+N+.	ΡiΒ
Roberts et al. 2018	Prospective cohort	Participants without dementia were randomly selected	Mean age: 71.3 (9.8) Male sex: 53.4% Prevalent MCI: 10.7%	Population-based prevalence of amyloid- positive status and progression rates of amyloid positivity provide valid information for designing AD prevention	N/A

				trials and assessing the public health outcomes of AD prevention and interventions.	
Villemagne et al. 2013	Prospective cohort N=200 Australia	Healthy controls, patients with MCI, and patients with AD	HC mean age: 73 (7.5); MCI mean age: 73.4 (8.5); DAT mean age: 71.7 (8.9)	At baseline, significantly higher amyloid burdens were noted in patients with AD (2.27, SD 0.43) and those with MCI (1.94, 0.64) than in healthy controls (1.38, 0.39).	11C-PiB
Villemagne et al. 2011 <sup>189</sup>	Longitudinal N=206 Australia	Participated in the Melbourne Healthy Aging Study and the Austin Health Memory Disorders Clinic	N/A	At baseline, 97% of DAT, 69% of MCI, and 31% of HC subjects showed high PiB retention.	11C-PiB
Rowe et al. 2014	Prospective cohort N=183 healthy, 87 MCI Australia	Participated in the Australian Imaging, Biomarkers, and Lifestyle study	Healthy mean age: 72 (7.26) MCI mean age: 73.7 (8.27) Healthy female sex: 51.9% MCI female sex: 49.4%	Thirteen percent of healthy persons progressed (15 to MCI, 8 to dementia), and 59% of the MCI cohort progressed to probable AD.	11C-PiB
Donohue et al. 2014	Observational N=N/A North America and Australia	Eligible participants will be 65 to 85 years old at the time of screening, with a global Clinical Dementia Rating (CDR-G) score of 0, an MMSE score of 27 to 30, and a Delayed Recall score on the Logical Memory IIa subtest of 8 to 15 for participants with 13 or more years of education, or with an MMSE score of 25 to 30 and a Delayed Recall score on the Logical Memory IIa subtest of 6 to 13 for participants with 12 or fewer years of education	The participants analyzed had normal cognition and mean ages of 75.81, 71.37, and 79.42 years across the 3 studies.	Analyses of at-risk cognitively normal populations suggest that we can reliably measure the first signs of cognitive decline with the ADCS-PACC.	Varies
Knopman et al. 2012	Population-based N=296 US	Participated in the Mayo Clinic Study of Aging, diagnosed as cognitively normal and underwent brain MRI or [18F]FDG and PiB PET, had global cognitive test scores, and were followed for at least 1 year	Mean age: 78 (75-82) Female sex: 130 (44%) MMSE: 28 (27-29)	Of the 296 initially normal subjects, 31 (10%) progressed to a diagnosis of MCI or dementia (27 amnestic MCI, 2 non-amnestic MCI, and 2 non-AD dementias) within 1 year.	[18F]FDG and Pit PET

Jack Jr et al. 2015	Cross-sectional observational N=1246 US	Cognitively normal	N/A	Overall, memory worsened from age 30 years through the 90s	11C-PiB
Frings et al. 2018	Prospective cohort N=138 Location N/A	Patients referred for diagnostic imaging with [18F]FDG and [11C]PIB PET	N/A	[18F]FDG PET did not significantly predict conversion to AD.	18F-FDG and 11 PiB PET
Jansen et al. 2018	Cross-sectional N=normal 2908, MCI 4133 Location: multiple	Participated in the multicenter Amyloid Biomarker Study	N/A	Among normal cognition, amyloid positively was associated with low memory scores after age 70 but not with low MMSE. Among those with MCI, amyloid positively was associated with low memory and low MMSE.	N/A
Kemppainen et al. 2013	Prospective cohort N=24 Finland	Participated in earlier studies at Turku PET Centre	Six patients with AD (mean age 71.3), 10 patients with amnestic MCI (mean age 70.4), and 8 healthy control subjects (mean age 66.1)	The MCI group showed a significant increase in [11C]PIB uptake over time.	11C-PiB
Lopez et al. 2014	Prospective cohort N=183 US	Without dementia	Mean age: 85.2	The prevalence of β- amyloid deposition, neurodegeneration (i.e., hippocampal atrophy), and small vessel disease (white matter lesions) is high in CN older individuals and in MCI.	11C-PiB
Ma et al. 2014	Meta-analysis N= 352 (from 11 studies) Location N/A	Searches from MEDLINE (OvidSP), EMBASE (OvidSP), BIOSIS Previews (ISI Web of Knowledge), Science Citation Index (ISI Web of Knowledge), PsycINFO (Ovid SP), and LILACS (Bireme)	N/A	The included studies varied markedly in how the 11C-PiB PET scans were performed and interpreted.	11C-PiB PET
Nordberg et al. 2012	Prospective cohort N=238 Europe	N/A	Control mean age: 67.4 (6.3) MCI mean age: 67.5 (8.1) AD mean age: 69.2 (8.4)	[11C]PiB retention in the neocortical and subcortical brain regions was significantly higher in AD patients than in age-matched controls.	11C-PiB
Ossenkoppele et al. 2014	Longitudinal N=AD 41, MCI 28, control 19 Netherlands	Underwent 11C-PiB and 18F-FDG PET and MRI scans at baseline	Control mean age: 64 (9) MCI mean age 65 (9)	Baseline hypometabolism and atrophy were associated with poorer baseline	11C-PiB and 18F FDG-PET and MF

			AD dementia mean age: 64 (6)	performance on attention and executive functions.	
Trzepacz et al. 2014	Multivariate analysis N=ADNI-1 data US	Varies	N/A	Of the 50 MCI subjects included in this study, 20 (40%) converted to Alzheimer's dementia within 2 years (converters) and 30 did not (nonconverters).	11C-PiB PET, MF and 18F-FDG-PE
Lowe et al. 2020 <sup>161</sup>	Prospective cohort N=26 US	Cognitively impaired participants with abnormal amyloid based on amyloid PET, with anamnestic clinical presentation, participating in Mayo Clinical Study of Aging who passed away and underwent autopsy	Female sex: 38% Mean age: 79 (11.2) Race: NR MMSE: 22 (7)	None (analysis limited to persons who died and underwent biopsy)	18F-FTP autopsy with IHC staining and Braa staging Braak tangle stag and at least a moderate neuritic plaque score; or Braak tangle stag ≤3, at least a moderate neuritic plaque score, and more than a mode neuritic plaque sc

1730 Aβ, amyloid beta; AD, Alzheimer's disease; ADCS-PACC, Alzheimer Disease Cooperative Study–

1731 Preclinical Alzheimer's Cognitive Composite; ADNI-1, Alzheimer's Disease Neuroimaging

1732 Initiative initial phase; CDR, Clinical Dementia Rating; CDR-G, Clinical Dementia Rating-Global;

1733 CN, cognitively normal; DAT, dementia of the Alzheimer type; FDG, fluorodeoxyglucose; FTLD,

1734 frontotemporal lobar degeneration; FTP, flortaucipir; HC, healthy controls; IHC,

immunohistochemical; MCI, mild cognitive impairment; MMSE, Mini-Mental State Examination;

1736 MRI, magnetic resonance imaging; N/A, not available; NR, not reported; PACC, Preclinical

1737 Alzheimer's Cognitive Composite; PET, positron emission tomography; PiB, Pittsburgh

1738 compound-B; RCT, randomized controlled trial; SCC, subjective cognitive complaints; SCD,

1739 subjective cognitive decline; SCI, subjective cognitive impairment; SMD, subjective memory

1740 decline; SNAP, suspected non-Alzheimer's pathophysiology.

1741

### 1742 Appendix F: Quality Rating Criteria Used for Systematic Review

### 17431744 Diagnostic Accuracy Studies Criteria

- 1745 Patient selection: Was a consecutive or random sample of patients enrolled?
- 1746
- 1747 Index test(s): Were thresholds prespecified?
- 1748

1749 Reference standard: Were the reference standard results interpreted without knowledge of the

- 1750 results of the index text?
- 1751
- 1752 Flow and timing

1753	<ul> <li>Were all patients included in the analysis?</li> </ul>
1754	Were any data discrepancies present?
1755	
1756	Response options for all questions: Yes, no, unclear, or not applicable
1757	
1758	Definitions of ratings based on above criteria:
1759	1. High = Further research is very unlikely to change our confidence in the estimate of effect.
1760	2. Moderate = Further research is likely to have an important impact on our confidence in the
1761	estimate of effect and may change the estimate.
1762	3. Low = Further research is very likely to have an important impact on our confidence in the
1763	estimate of effect and is likely to change the estimate.
1764	<ol><li>Very low = Any estimate of effect is very uncertain.</li></ol>
1765	
1766	Non-Diagnostic Accuracy Studies Criteria
1767	
1768	Initial assembly of comparable groups
1769	Did the study attempt to enroll a random sample or consecutive patients meeting inclusion
1770	criteria (inception cohort)?
1771	• Did the study use accurate methods for ascertaining exposures, potential confounders, and
1772	outcomes?
1773	Maintenance of comparable groups
1774	Did the article report attrition?
1775	<ul> <li>Is there important differential loss to follow-up or overall high loss to follow-up?</li> </ul>
1776	
1777	Measurements: equal, reliable, and valid
1778	<ul> <li>Were outcomes prespecified and defined, and ascertained using accurate methods?</li> </ul>
1779	<ul> <li>Were outcome assessors and/or data analysts blinded to treatment?</li> </ul>
1780	
1781	Definitions of ratings based on above criteria:
1782	1. High = Further research is very unlikely to change our confidence in the estimate of effect.
1783	2. Moderate = Further research is likely to have an important impact on our confidence in the
1784	estimate of effect and may change the estimate.
1785	3. Low = Further research is very likely to have an important impact on our confidence in the
1786	estimate of effect and is likely to change the estimate.

1787 4. Very low = Any estimate of effect is very uncertain.

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1789

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